University of Mosul College of Electronics Engineering Communication Engineering Department



Adaptive Modulation for the MIMO-OFDM System

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(B.Sc. in Communication Engineering)

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Supervised By Dr. Younis M. Abbosh

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By

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Publications

Some of the important results obtained in this work have appeared in the following publication:-

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Abstract

In the past few years, wireless communication technology has witnessed huge developments which have given rise to many new applications leading to transmitting and receiving high data rates without location or movement constraints.

In recent years, orthogonal frequency division multiplexing (OFDM) has proved to be one of the most multicarrier successful techniques. The OFDM technique carries out high data rates as well as spatial multiplexing so that multiple antennas can be used at the transmitter and at the receiver. This configuration of antennas is called Multiple Input Multiple Output (MIMO) antennas.

In addition to the MIMO and OFDM techniques, adaptive modulation is another important technique that is used to increase the data rate. The adaptive modulation can be carried out with accepted bit error rate (BER) and maximum data throughput on condition that the channel SNR and the Doppler frequency shift are well estimated. Therefore, this work has been conducted to investigate the best modulation scheme that can be used with the instantaneous Rayleigh fading channel corrupted by AWGN and for different speeds of the receiver. Three different communication systems have been considered in this project: the single carrier base band transmission system, the OFDM system and the 2*2 MIMO-OFDM system. Performances of these three systems were evaluated concerning BER and data throughput for different speeds of the receiver, and adaptation was decided accordingly. Switching to the best modulation scheme according to the instantaneous channel conditions, to achieve the desired BER, depends on the values of the signal to noise ratio (SNR) and the Doppler frequency shifts. This was achieved by using a look-up table constructed from the obtained results.

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3G	Third Generation
4G	Fourth Generation
ADSL	Asymmetric Digital Subscriber Line
AMC	Adaptive Modulation and Coding
AMUD	Adaptive Multiuser Detection
ANSI's	American National Standard Institute's
AWGN	Additive White Gaussian Noise
BER	Bit Error Rate
BLER	Block Error Rate
BPSK	Binary Phase Shift Keying
BRANs	Broadband Radio Access Networks
BW	Bandwidth
CDMA	Code-Division Multiple Access
СР	Cyclic-Prefix
CQI	Channel Quality Indicator
CRC	Error Detection And Correction
DAB	Digital Audio Broadcasting
DFT	Discrete Fourier Transform
DMT	Discrete Multi-Tone
DVB	Digital Video Broadcasting
DVB-H	Digital Video Broadcasting for Handheld Terminals
	Digital Video Procedence for Termestrial
DVB-T	Television

List of Abbreviations

EESM	Exponential Effective SNR Mapping
ETSI's	European Telecommunication Standard Institute's
F.T	Fourier Transform
FDMA	Frequency-Division Multiple Access
FFT	Fast Fourier Transform
FLA	Fast Link Adaptation
GHz	Gigahertz
HSDPA	High-Speed Downlink Packet Access
ICI	Inter-Carrier Interference
IDFT	Inverse Discrete Fourier Transform
IFFT	Inverse Fast Fourier Transform
Im	Imaginary
ISI	Inter Symbol Interference
KHz	Kilohertz
km/h.	kilometer per hour
LLMS	Leaky Least Mean Square
LQI	link quality indicator
LTE	Long Term Evolution
MHz	Megahertz
MIMO	Multiple Input Multiple Output
MIMO-OFDM	Multiple Input Multiple Output-Orthogonal
	Frequency Division Multiplexing
MMSE	Minimum Mean Squares Error
M-QAM	Multi-array Quadrature Amplitude Modulation
OFDM	Orthogonal Frequency Division Multiplexing

OFDMA	Orthogonal Frequency Division Multiple Access
ORTHOMUX	Orthogonal Multiplexing
PAPR	Peak-to-Average Power Ratio
PDF	Power Spectral Density
PER	Packet Error Rate
PSAM	pilot symbol assisted modulation
PSK	Phase Shift Keying
QAM	Quadrature Amplitude Modulation
QoS	Quality of Service
QPSK	Quadrature Phase Shift Keying
Re	Real
SDM	space division multiplexing
SISO	Single Input Single Output
SNR	Signal to Noise Ratio
TDMA	Time- Division Multiple Access
TTL	Time to Live
UMTS	Universal Mobile Telecommunications System
VDSL	Very high Digital Subscriber Line
WCDMA	Wideband Code Division Multiple Access
WLANs	Wireless Location Area Networks
ZF	Zero Forcing

*	convolution operator
α	angle between directions of the receiver velocity and the arriving signal
	signal to poice patie (SND) per hit
γ	signal-to-noise ratio (SNR) per bit
λ	wave length
Δf	subcarrier bandwidth, subcarrier spacing
S(v)	Doppler spectrum
σ^2	prediction mean power of the multipath signal
$a_{1}^{(m)}$	modulation symbol applied to the <i>kth</i> subcarrier
^C R	during the <i>mth</i> OFDM symbol interval
С	Normalized channel capacity
\overline{BW}	
С	speed of light
E _b	Signal power
F(f)	Fourier transform of Rayleigh coefficients
f*(t)	complex conjugate of Rayleigh coefficients
f _c	carrier frequency
f _d	Doppler spread
f_s	sampling rate
Н	2*2 channel matrix
H _{eq} (f)	Fourier transform of the impulse response of the
	equalizer
h(t)	impulse response of the channel
h _{eq} (t)	impulse response of the equalizer

$h_{ij}(t)$	Channel impulse response
J ₀ (•)	zero order Bessel function
k	free space phase constant
N	number of samples
N _R	receiving antenna number
N _T	transmitting antenna number
N ₀	Noise power
n(t)	noise
$R(\Delta v)$	channel time correlation function
Ī	Vector representing two transmitted signals
T _{cp}	length of the cyclic prefix
T _o	channel coherence time
T _s	sampling interval
VΔt	distance traversed
v	receiver velocity
x(t)	transmitted signal
y(t)	received signal

Chapter One

Adaptive Modulation for the MIMO-OFDM System

1.1 Introduction

In the past few years, wireless technology has developed tremendously. This development has opened new applications of wireless communication without location or movement constraints. The maximum data rates for 4G network is 1GB/s for indoor and 100MB/s for environments [1].

These high data rates for indoor and outdoor environments cannot be achieved by using single-carrier systems, because at high data rates the problem of inter-symbol interference will arise and the period of one symbol interferes with that of another symbol. The data will be highly distorted, therefore the need for multicarrier transmission systems arises [2]. Multicarrier transmissions can be achieved by dividing the available bandwidth into a number of narrow bands called sub-bands. Each sub-band is modulated by a sub-carrier and transmitted simultaneously with other sub-carriers [3].

In recent years orthogonal frequency division multiplexing (OFDM) has proved to be one of the most successful techniques. In the context of wired environments, OFDM techniques are also known as Discrete Multi-Tone (DMT) transmissions and are employed in the American National Standard Institute's (ANSI) Asymmetric Digital Subscriber Line (ADSL) and Very high Digital Subscriber Line (VDSL) standards as well as in the European Telecommunication Standard Institute's (ETSI). In the wireless scenario, OFDM has been used by many European standards such as Digital Audio Broadcasting (DAB), Digital Video Broadcasting for Terrestrial Television (DVB-T), Digital Video Broadcasting for Handheld Terminals (DVB-H), Wireless Location Area Networks (WLANs), and Broadband Radio Access Networks (BRANs) [4,5].

Before 1971 the use of the OFDM technique was limited to the military applications because it required a bank of sinusoidal subcarrier generators and demodulators. After 1971 Weinstein and Ebert [6] suggested that modulation and demodulation processes of the OFDM system can be implemented by using Inverse Discrete Fourier Transform (IFFT) at the transmitter and Discrete Fourier Transform at the receiver, which significantly reduces the complexity of the OFDM system [4,7].

The demand for high data rates does not depend on the ODFM technique only, but on using the OFDM with spatial multiplexing. In spatial multiplexing, multiple antennas are used at the transmitter and receiver to increase the data rate [8]. Spatial multiplexing is often described as the use of multiple input multiple output (MIMO) antennas. The multiple antennas in the spatial multiplexing are used to transmit multiple parallel data streams, so as to increase the data rate. The number of data streams is equal to the minimum number of transmitter or receiver antennas [9, 10].

Adaptive modulation is another important technique used to increase the data rate beside the MIMO and the OFDM techniques, to get maximum data throughput with accepted BER [11]. The adaptive modulation is done by estimating the channel SNR and the Doppler frequency shift [12].

The main problem in wireless communication is the effect of the Rayleigh fading channel that degrades the BER performance for the wireless communication channel. The multipath fading and the interference with other users cause a time variant (SNR) in direct contrast with the Additive White Gaussian Noise (AWGN) channel where the channel characterized by a constant noise spectral density [13].

1.2 <u>Review of Literatures</u>

Ballard in 1966 [14] devised a new technique for multiplex communication, in which orthogonal pulse waveforms were transmitted as simultaneous subcarriers. Information signals were carried in the form of independent amplitude modulations of each subcarrier, and were extracted by means of waveform correlation. He named his new technique ORTHOMUX (orthogonal multiplexing), which offers the following advantages

1) High spectrum efficiency.

2) Maximum rejection of crosstalk and noise.

3) Information response down to zero frequency.

4) Mixed information rates.

5) Simple, compact equipment.

6) Modular flexibility.

The channel estimation can be done by inserting defined symbols at known instants of time. The inserted symbols are known as pilots. The pilots should be inserted periodically. The time period of the pilot should be smaller than the coherence time in order to track the channel variation. If the pilot time period is greater than the coherence time the estimator cannot track the channel variation. Cavers in 1991 [13] proposed a pilot symbol assisted modulation (PSAM). The channel effect on the amplitude and phase of the modulated symbols can be found by using PSAM. If there is no more one path between the transmitter and receiver, the channel effect on the received signal can be removed by multiplying the received signal by the inverse of the estimated channel parameters; therefore the original transmitted pulse shape will be recovered keeping the peak to its original average ratio.

The need for communication with high data rate and accepted BER led to looking for and investigating new techniques of digital communication, and to use the available bandwidth in efficient ways. Kim et.al. in 1997 [1] tried to increase the spectrum efficiency by using a good channel estimator with high-order modulation schemes.

Cho and Yoon in 2002 [4] proposed an adaptive modulation scheme which depends on link-quality indicator (LQI) reports that can be used to maximize the data throughput. The modulation scheme of the adaptive modulator is chosen to achieve a maximum data throughput with accepted BER. The modulation scheme of the adaptive modulator is chosen according to the channel state. In 2002 Li et.al. [15] published a paper in which two linkadaptation methods were considered. In the first method, the fixed number of weak sub-carriers which are highly attenuated was left out to improve the BER performance. According to the second method, which depends on the channel quality of each sub-carrier, the modulation level on each subcarrier was varied to adapt to the link condition in order to improve the system throughput. The analysis and simulation results proved that the proposed link adaptation and coding scheme is effective in improving system throughput compared with the system which has fixed modulation/coding.

Jing et.al. in 2003 [16] studied a communication system that employs both the MIMO-OFDM and adaptive modulation/coding technologies. In their work, the performance of different modulation including modulation/coding schemes fixed and adaptive modulation/coding were analyzed and compared by simulation. They also proposed an adaptive modulation/coding algorithm for the multi-user MIMO-OFDM system. This adaptive allocation algorithm assigns the subcarriers and bits for users in the system with the minimum total transmission power and targeted BER based on the time-variant MIMO channel condition. Their computer simulation results demonstrate that the proposed algorithm is simple and can have a relative low transmission power compared with the fixed BPSK, QPSK and 16QAM modulation schemes.

Al-Tittinche in 2006 [17] implemented space division multiplexing (SDM) to transmit different signals simultaneously at the

same carrier frequency. The work detected the transmitted signals using many techniques such as zero forcing, minimum mean square error with and without decision feedback and maximum likelihood decoding. Moreover, the effect of increasing the number of transmit and receive antennas was investigated.

Faezah and Sabira in 2009 [18] considered only adaptive modulation and investigated the OFDM system performance of uncoded adaptive modulation using quadrature amplitude modulation (QAM) and phase shift keying (PSK). To further enhance the system, they tried to employ convolutional coding to the OFDM system. The obtained results show that significant improvements in terms of BER and throughput can be achieved, which demonstrates the superiority of the adaptive modulation schemes to the fixed transmission schemes.

In 2010 Martorell et.al [19] investigated the use of cross-layer fast-link adaptation (FLA) for WLANs employing a MIMO-OFDM physical layer. They also proposed a packet error rate (PER)-based FLA technique that, without loss of generality, makes use of the exponential effective SNR mapping (EESM). Channel estimation errors have also been considered, revealing the importance of good channel estimators in order for the FLA strategies to work satisfactorily.

Salih and Suliman in 2011 [20] proposed high-order modulation schemes which are used with good conditions of the channel. The lowerorder modulation schemes (BPSK, QPSK) are used with bad conditions of the channel. Oyetunji and Akinninranye in 2013 [9] investigated the performance of different digital modulation techniques in the additive White Gaussian Noise (AWGN) channel. The effect of multipath channels on bandpass modulation was also investigated by simulating a selective frequency fading channel with 6 rays in MATLAB environment for up to 20MHz bandwidth. Then they compared the practical results they got with the theoretical results.

In 2012 Makhtari et.al [21] investigated the performance evaluation of the OFDM Long Term Evolution (LTE) downlink physical layer according to the latest 3GPP specifications. The investigation was done by using an AGWN channel for static receiver and transmitter

In 2013 Harivikram et.al [22] designed an objective to minimize the error probability of an LTE (MIMO-OFDM) system and to bring the spectrum efficiency through adaptive modulation and coding rate.

Bhoyar et.al. in 2013 [23] presented a channel-estimation scheme based on the Leaky Least Mean Square (LLMS) algorithm proposed for the BPSK QPSK-PSK MIMO OFDM System. Then they analyzed the terms of the Minimum Mean Squares Error (MMSE) and BER which lead improved the SNR.

Sharma and Dalal in 2014 [24] published a paper in which simulation results were obtained for single input single output (SISO)-OFDM, MIMO-OFDM and adaptive multiuser detection (AMUD) MIMO-OFDM for 2*2, 3*3 and 4*4 antennas. In addition, capacity and BER results were obtained for different antenna configurations and different modulation techniques for SISO-OFDM, MIMO-OFDM and

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AMUD MIMO-OFDM. They showed that AMUD MIMO-OFDM performs better when compared to SISO-OFDM and MIMO-OFDM in terms of BER and SNR.

1.3 Thesis Aims

The aims of the thesis are to optimize the convenient type of modulation scheme according to the instantaneous Rayleigh fading channel and speed of the receiver in an adaptive way. By using different channel predictions in adaptive modulation, look-up tables for prediction are searched when the signal passes through the high Doppler rates and the fast-fading channel in order to quickly specify the suitable modulation type.

1.4 Layout of the Thesis

This thesis consists of five chapters, the first of which is the introduction where a brief description of Adaptive Modulation for MIMO-OFDM System is provided.

Chapter Two: Gives the theoretical background of different channel effects and BER performance for M-array quadrature amplitude modulation (M-QAM) through the AWGN channel and the Rayleigh fading channel. Theoretical background of equalization and channel estimation, the OFDM wireless communication systems, advantages and disadvantages of OFDM techniques is given. Also the chapter explains cyclic-prefix insertion, the MIMO-OFDM wireless communication systems, and an introduction to link adaptation (power and rate control).

In Chapter Three, three types of wireless communication systems are simulated: the single carrier wireless communication system, the OFDM wireless communication system, and the MIMO-OFDM wireless communication system.

In Chapter Four, the results are extracted from the simulated systems described in chapter three. The main results include the behavior of the system characterized by the BER according to the noise of the channel (AWGN), the Rayleigh fading and for different speeds of the receiver.

Chapter Five presents the conclusions and suggestions for future work.

Chapter Two

Theory of OFDM and MIMO systems

In this chapter, theoretical background for the baseband single carrier, OFDM and MIMO systems. Besides analyses of the behavior of signals through fading channels with Doppler effects and channel equalization techniques are stated.

2.1 <u>THE SINGLE CARRIER WIRELESS COMMUNICATION</u> <u>SYSTEM</u>

2.1.1 Additive White Gaussian Noise (AWGN)

Any received signal of a communication system is usually corrupted with Additive White Gaussian Noise (AWGN) that consists of many random independent components as shown in equation (2.1). AWGN is characterized by constant power spectral density [25].

$$y(t) = x(t) + n(t)$$
(2.1)

where x(t) is the transmitted signal, n(t) is the noise, and y(t) is the received signal, when the channel effects are excluded.

2.1.2 The Multipath Fading Channel

In wireless communication system, multipath effects cause the receiver to receive many copies of the transmitted wave, each of different time delay. The multipath effects are due to reflection, refraction, deflection and scattering of the original transmitted signal. Since the time delays between the received signal copies due to multi path effects are random, then destructive or constructive interference may occur. The multipath fading results in inter symbol-interference (ISI). Equation (2.2) represents the effect of the multipath on the received signal strength.[9].

 $y(t) = x(t) \circledast h(t) + n(t) \dots (2.2)$

where h(t) impulse response of the channel.

Figure 2.1 shows block diagram of communication system model.



Figure 2.1: Communication system model through a channel with additive white Gaussian noise [9].

2.1.3 The Rayleigh Fading Channel

Rayleigh fading channel assumes there is no line of sight between the transmitter and the receiver, but a large number of the transmitted signal copies are received by the receiver. The probability density function (PDF) of the delays between the received copies of the transmitted signal is statically Rayleigh distribution. The following equation represents the Rayleigh PDF [26, 27]:

$$p(r_{o}) = \begin{cases} \frac{r_{o}}{\sigma^{2}} \exp\left[-\frac{r_{o}^{2}}{2\sigma^{2}}\right] & \text{for } r_{o} \ge 0\\ 0 & \text{otherwise} \end{cases}$$
(2.3)

where σ^2 is the predicted mean power of the multipath signal and r_0 is the Rayleigh random variable which represents in this study the relative delays.

2.1.4 Fast Fading versus Slow Fading Viewed In the Time Domain

The channel can be characterized as a fast fading channel if $T_0 \leq T_s$, (where T_0 is the channel coherence time, and T_s is the time duration of the transmitted symbol). If $T_0 \geq T_s$ then the channel can be characterized as a slow fading channel. The fast fading channel h(t) has low autocorrelation values, so that the channel characteristics change several times during the time duration of the transmitted symbol. The slow fading channel, on the other hand, has high autocorrelation values and the channel characteristics remain approximately constant during the symbol duration. Slow fading channel reduces the SNR more than fast fading one [16, 26].

2.1.5 The Maximum Doppler Frequency Shift (Jakes model)

The relative movement between transmitter and receiver leads to a frequency shift in the received signal. The received signal frequency shift is known as the Doppler shift. The Doppler shift for moving receiver and stationary transmitter can be calculated according to the following equation [24, 27]:

$$f_{d} = \frac{|\vec{v}|}{c} f_{c} \cos(\alpha) \dots (2.4)$$

where \vec{v} is the receiver velocity, c is the speed of light, f_c is the carrier frequency of the signal and α is the angle between the directions of the receiver velocity and the arriving wave. Equation (2.4) shows that a maximum +ve Doppler shift occurs when the receiver moves toward the transmitter, and a maximum –ve Doppler shift occurs when the receiver moves away from the transmitter [25].
The Doppler spectrum gives a clear idea about how fast the channel characteristics are changing with respect to time. The Doppler spectrum S(f) is found by taking the Fourier transform of the channel time correlation function R(Δv). Therefore, the Doppler spectrum is completely associated with the channel characteristics that vary with time. The following equation gives the normalized R(Δv)[26]:

$$R(\Delta v) = J_o(kV\Delta t) \dots (2.5)$$

where, $J_o(\bullet)$ is the zero order Bessel function of the first kind, V Δ t is the distance traversed, and $k = 2\pi/\lambda$ is the free space phase constant. A Doppler spectrum (Doppler PDF) is found by taking the Fourier transform of the equation [26, 28]:

$$S(f) = \frac{1}{\pi f_d \sqrt{1 - (\frac{f - f_c}{f_d})^2}} \quad (2.6)$$

The Doppler spectrum of the equation is also called the Jakes spectrum. Figure 2.2 shows the duality relation between the multipath intensity profile (time varying channel) and the Doppler power spectrum.



Figure 2.2: Relationship between the channel correlation and the power density function [26].

Due to the multipath effect, the transmitted signal travels over multiple paths to reach the receiver with different times and different angles. Each arriving component of the received signal is affected by a different Doppler shift statically subjected to the Jakes model [26]. The Doppler spread (f_d) is inversely proportional to the coherence time as shown in equation (2.7)

$$T_{o} = 0.423 / f_{d}$$
 (2.7)

2.1.6 The BER performance for M-array quadrature amplitude modulation (M-QAM)

The data throughput for any communication system can be increased by increasing the bandwidth used to transmit data. Normally, in wireless communication systems, the bandwidth is specified by national and local licences. Due to this specification of the bandwidth, new technologies appear to increase the data throughput without increasing the bandwidth. One of these technologies is to use high-order modulation schemes. The high order modulation schemes use the available bandwidth in an efficient way [29].

Gray code constellation [30] is used to perform the square QAM modulation schemes. Cho and Yoon have derived in [4] an expression to show the BER performance of M-array QAM modulation schemes through the AWGN channel with respect to SNR as shown in equations (2.8), (2.9) and (2.10).

where

$$w(i, k, M) = (-1)^{\left[\frac{i \cdot 2^{k-1}}{\sqrt{M}}\right]} \cdot \left(2^{k-1} - \left[\frac{i \cdot 2^{k-1}}{\sqrt{M}} + \frac{1}{2}\right]\right) \dots \dots \dots (2.10)$$

where $\gamma = E_b/N_0$ denotes the SNR per bit, $M = 2^m$, *m* is appositive integer, and $k \in \{1, 2, ..., \log_2 I\}$ where *I* is the size of I-channel in the M-QAM gray code constellation diagram.

2.1.7 The BER performance for M-array quadrature amplitude modulation (M-QAM) through the Rayleigh fading channel

For M-array QAM modulation schemes passing through a flat fading Rayleigh channel, an expression has been derived to find the BER performance through Rayleigh fading channel as shown in equations (2.11) and (2.12), respectively [29].

$$P_{Ray} = \frac{1}{\log_2(M)} \sum_{k=1}^{\log_2\sqrt{M}} P_{Ray}(k), \dots \dots \dots \dots \dots \dots (2.11)$$

where $\gamma = E_b/N_0$ denotes the SNR per bit, $M = 2^m$, *m* is appositive integer, and $k \in \{1, 2, ..., \log_2 I\}$ where *I* is the size of I-channel in the M-QAM gray code constellation diagram.

2.1.8 Equalization and channel estimation

The problem of the ISI arises due to the multipath effects of mobile digital communication systems. The multipath effects cause a broadening in the received pulse shape with respect to time. This broadening in pulse shape causes interferences between the adjacent pulses and distort them. As the data rate increases, the ISI also increases and causes a higher BER at the receiver. So, ISI is the main problem that prevents the wireless communication system from transmitting data with high rates. Equalizer can be used to minimize the effects of ISI at the receiver. For the time varying channel, an adaptive equalizer is needed to track the channel characteristic variation and to ensure a high transmitting data rate with an accepted BER. If x(t) is the original information signal

and h(t) is the combined complex baseband impulse response of the channel, then the signal received by the equalizer is [31]

$$y(t) = x(t) \circledast h^{*}(t) + n_{b}(t) \dots (2.13)$$

where $h^*(t)$ is the complex conjugate of h(t), $n_b(t)$ is the baseband noise at the input of the equalizer, and \circledast denotes the convolution operator. If the impulse response of the equalizer is $h_{eq}(t)$, then the output of the equalizer is [31]

$$\hat{d}(t) = x(t) \circledast h^{*}(t) \circledast h_{eq}(t) + n_{b}(t) \circledast h_{eq}$$
$$= x(t) \circledast g(t) + n_{b}(t) \circledast h_{eq}(t) \dots \dots \dots \dots (2.14)$$

The aim of the equalizer is to get

$$H_{eq}(f)H^*(-f) = 1$$
 (2.15)

where $H_{eq}(f)$ and H(f) are the Fourier transforms of $h_{eq}(t)$ and h(t), respectively.



Figure 2.3: A simplified communication system block diagram using an adaptive equalizer at the receiver [31].

A flat fading channel can be characterized as a multipath channel which has only one path and represented as a single tab filter. Therefore, the convolution operation between the signal and channel impulse response can be converted into multiplication as shown in the following equation

$$y(t) = x(t) * h(t) + n_b(t)$$
(2.16)

2.2 The OFDM WIRELESS COMMUNICATION SYSTEM

2.2.1 Introduction to OFDM

OFDM stands for Orthogonal Frequency Division Multiplexing. The OFDM is the extension of the frequency division multiplexing (FDM) technique. The FDM technique uses a number of parallel narrow sub-band subcarriers to transmit parallel data streams within the available bandwidth. FDM, as compared with a single wide-band sub-carrier, which uses the whole bandwidth to transmit one stream of data, is shown in Figure 2.4 [32].



Figure 2.4: FDM principle. a) Wideband carrier b) FDM narrowband carriers [32].

2.2.2 The Basic Principle of OFDM

The OFDM technique is the basis for many modern communication systems such as the 3GPP Long Term Evolution as a downlink transmission scheme, WiMax and the Digital Video Broadcasting (DVB). The OFDM transmission can be characterized as a multicarrier transmission.

The basic characteristics of OFDM transmission are:

- A number of narrow subcarriers are simultaneously transmitted to occupy the available bandwidth in an efficient way and sometimes the number of subcarriers may be huge and can reach a thousand subcarriers.
- 2- Usually the subcarrier spacing is $\Delta f = 1/T_u$, where T_u is the persubcarrier modulation symbol time which is illustrated in Figure 2.5. The subcarrier spacing, therefore, equals the per-subcarrier modulation rate $1/T_u$.



Figure 2.5: OFDM subcarrier spacing [33].

To describe how the OFDM symbol is generating, a bank of N_c complex modulators are used to modulate N_c complex symbols, where the

complex symbols are the output of the used modulation scheme (QPSK, 16QAM,...). The output of each modulator represents one OFDM subcarrier. Figure 2.6 shows how one OFDM symbol is generated.



Figure valid for time interval $mT_u \le t < (m + 1)T_u$

Figure 2.6: OFDM modulation [33].

In complex baseband notation, a basic OFDM signal x(t)during the time interval $mT_u \le t < (m+1)T_u$ can thus be expressed as:

where $x_k(t)$ is the *kth* modulated subcarrier with frequency $f_k = k * \Delta f$ and $a_k^{(m)}$ is the modulation symbol applied to the *kth* subcarrier during the *mth* OFDM symbol interval, i.e. during the time interval $mT_u \leq t < (m + 1)T_u$. Equation (2.17) shows that to transmit one OFDM symbol through the channel, all the N_c modulators simultaneously contribute during the symbol duration.

According to the application and the available and the allowable bandwidth, the number of subcarriers and their bandwidths can be determined. The number of one OFDM symbol subcarriers may start from less than one hundred and may reach several thousands. As a result, the subcarrier spacing may range from few KHz to several hundred KHz. The subcarrier spacing is determined by the channel state that the system is working with, including the maximum estimated radio channel frequency selectivity (maximum expected time dispersion) and the maximum estimated rate of channel variation (maximum expected Doppler spread). After selection of the subcarrier spacing, the number of subcarriers is determined by the available bandwidth, taking into account guard bands to prevent OFDM symbols from interfering with each other.

The term Orthogonal Frequency Division Multiplex is due to the fact that two modulated OFDM subcarriers x_{k_1} and x_{k_2} are mutually orthogonal over the time interval $mT_u \leq t < (m+1)T_{u^n}$, i.e.

$$\int_{mT_{u}}^{(m+1)T_{u}} x_{k_{1}}(t) x_{k_{2}}^{*} dt =$$

$$\int_{mT_u}^{(m+1)T_u} a_{k_1} a_{k_2}^* e^{j2\pi k_1 \Delta ft} e^{-j2\pi k_2 \Delta ft} dt = 0 \text{ for } k_1 \neq k_2 \dots \dots (2.18)$$

The OFDM demodulation process can be basically achieved by using a bank of parallel correlators. The number of correlators should be equal to the number of subcarriers contributing to producing one OFDM symbol, one correlator for each subcarrier. Figure 2.7 shows the basic principle of the OFDM demodulation.



Figure 2.7: Basic scheme for OFDM demodulator [33].

For an ideal case, two OFDM subcarriers do not cause any interference with each other after demodulation due to the orthogonality between the subcarriers according to equation (2.18) [33].

2.2.3 The Advantages and disadvantages of The OFDM technique

OFDM technique shows many advantages over other widely known wireless techniques, such as Frequency-Division Multiple Access (FDMA), Time- Division Multiple Access (TDMA) and Code-Division Multiple Access (CDMA) [32].

 In OFDM the radio channel is divided into many narrow-bands with low-rates and frequency-non-selective sub-channels (sub-carriers), which leads to parallel transmit multiple symbols, meanwhile a high spectral efficiency is maintained.

- 2- Each subcarrier in the OFDM system can be used to transmit data for a different user resulting in a simple multiple access scheme which is known as Orthogonal Frequency Division Multiple Access (OFDMA).
- 3- The OFDMA allows for different media to be transmitted simultaneously on the same radio link such as video, graphics, speech, text or other kind of data. The quality of service (QoS) and the type of service determine the media used with the OFDMA system.
- 4- Depending on the channel state, the OFDM system uses different modulation schemes (QPSK, 16QAM) for each group of subcarriers or even for each user. The choice of a modulation scheme depends on the channel quality and the number of active users. For example, the high-order modulation schemes are used for users who are close to the base station because a good channel quality is available, whereas low order modulation schemes are used for the users who are far from the base station or on the urban areas where the number of active users is huge because of the bad channel conditions.
- 5- The OFDM system shows high operating performance from the viewpoint of high data throughput even though time varying (frequency selective) channel is considered.
- 6- Besides the mentioned advantages of the OFDM system, the OFDM system is described by its low complex implementation in the transmitter and receiver sides. For example, complex equalizers at the receiver need to be used for the classical single carrier system in

order to decrease the effect of the Inter-Symbol Interference (ISI) introduced by multi-path fading. By contrary, only a cyclic prefix is added to the OFDM symbol to exhibit a high resilience against the ISI.

-The disadvantages of OFDM may be:

- 1- The increased values in Peak-to-Average Power Ratio (PAPR) as compared with that of the single-carrier system.
- 2- Because of the high value of PAPR, the OFDM system needs a large, linear-range amplifier at the system transmitter output.
- **3-** "OFDM is sensitive to carrier frequency offset, resulting in Inter-Carrier Interference (ICI)".

2.2.4 OFDM implementation using IFFT/FFT processing

Although OFDM modulation and demodulation can be achieved by using a bank of parallel modulators and correlators, respectively (Figures 2.6 and 2.7 show the schemes for the basic principle of the OFDM system), it is difficult in actual implementation to get large numbers of modulators and correlators that can work synchronously. In addition, the subcarrier spacing Δf which is equal to the per-subcarrier symbol rate $1/T_u$ leads to huge structure implementation. These problems are resolved after the invitation of the IFFT/FFT where the IFFT/FFT circuit can be used instead of the bank of modulators/correlators, respectively. Of course, the IFFT/FFT circuits are much easier to implement and more economic. To prove that the IFFT/FFT can be used instead of modulators/correlators, and since $f_s = \frac{1}{T_s} = N * \Delta f$; where f_s is the sampling rate, N is the number of samples, Δf is the subcarrier bandwidth, and T_s is the sampling time, then parameter N should be chosen so that the sampling theorem is sufficiently fulfilled. As $N_c * \Delta f$ can be seen as the nominal bandwidth of the OFDM signal, N should exceed N_c with a sufficient margin. According to these assumptions, the time-discrete OFDM signal can be expressed as [33]

Where

$$a'_k = \begin{cases} a_k & 0 \le k < N_c \\ 0 & N_c \le k < N \end{cases}$$

Thus, the sequence x_n , i.e. the sampled OFDM signal, has the size-*N* Inverse Discrete Fourier Transform (IDFT) of the block of modulation symbols $a_0, a_1, \ldots, a_{N_c-1}$ extended with zeros to length N. Therefore, the OFDM system modulation can be implemented by using IDFT with a digital to analog converter as shown in Figure 2.8.



Figure 2.8: OFDM modulation by means of IFFT processing [33].

By choosing the size of the IDFT to be equal to 2^m where *m* is an integer value, the OFDM modulation can be implemented by means of the implementation-efficient radix-2 Inverse Fast Fourier Transform.

Similar to the OFDM modulation, the OFDM demodulation can be achieved by using FFT instead of a bank of parallel correlators and by using a sampler with a sampling rate of $f_s = 1/T_s$, as shown in Figure 2.9 [33].



Figure 2.9: OFDM demodulation by means of FFT processing [33].

2.2.5 Cyclic-prefix insertion

In the ideal case when the received OFDM symbol is uncorrupted due to channel effect, the OFDM symbol can be demodulated without any interference between subcarriers. To understand the subcarrier orthogonality in equation (2.17), the modulated subcarriers $x_k(t)$ during the demodulator integration interval $T_u = 1/\Delta f$ consist of an integer number of periods as exponents of complex exponentials. In general, however, the channel effect cannot be ignored and in the case of the time dispersive channel, the orthogonality between the subcarriers will be lost. The subcarrier orthogonalities are lost in the case of the time dispersive channel, because the demodulator correlation interval for one path will overlap with the adjacent OFDM symbol boundary of another path. Figure 2.10 shows the problem of the time dispersive channel.



Figure 2.10: Time dispersion and corresponding received-signal timing [33].

To overcome the problem of the interference between subcarriers due to the time dispersive channel and to get an OFDM signal insensitive to the time dispersive channel, a cyclic-prefix insertion is used. As shown in Figure 2.11, by cyclic-prefix insertion the data in the last part of the OFDM symbol has to be copied and inserted at the beginning of the symbol which leads to increase the length of the OFDM symbol from T_u to $T_u + T_{cp}$, where T_{cp} is the length of the cyclic prefix. Therefore OFDM symbol rate is reduced due to the CP insertion in the symbol [34]. Figure 2.11 shows in its lower part that the subcarriers are still orthogonal to each other if the correlation at the receiver side is still only achieved over a time interval $T_u = 1/\Delta f$. In the case of a time-dispersive channel, if the span of the time dispersion is shorter than the cyclic- prefix length then the subcarrier orthogonally still implies [33].



Figure 2.11: Cyclic-prefix insertion [33].

The cyclic prefix should be added to the OFDM symbol before transmitting it through the channel. Since the cyclic prefix data contains iterated information, it should be cancelled at the receiver before the demodulation of the OFDM symbol (OFDM demodulation is done by using DFT/FFT). The cancellation of the cyclic prefix is done in the digital phase of the demodulator because at the first stage of the OFDM system receiver an analog to digital is used. The disadvantages of using cyclic prefix insertion is that a fraction of the received signal power which is equal to $T_u/(T_u + T_{cp})$ is actually utilized by the OFDM demodulator, and as a result the complement fraction of this power will be lost at the demodulation. In addition, there will be a loss of the same fraction in bandwidth and as a result the OFDM symbol rate is reduced without reducing the overall signal bandwidth.

In practice, cyclic prefix insertion can be achieved in discrete form by copying the last N_{cp} samples of the IFFT output samples, which equal N, and paste them at the beginning, so the number of samples is increased from N to $N + N_{cp}$. At the receiver, the added samples for cyclic prefix are discarded before demodulation of the OFDM symbol by means of DFT/FFT processing [33]. Figure 2.12 shows the whole OFDM system that is implemented by using IFFT/FFT.



Figure 2.12: Block diagram of transmitter and receiver in an OFDM system [35].

2.2.6 User-multiplexing and multiple-access scheme of OFDM

In the OFDM, the whole bandwidth can be allocated for one user, and the bandwidth can be used as a user multiplexing or multiple access as shown in Figure 2.13.



Figure 2.13: OFDM as a user-multiplexing/multiple-access scheme (a) downlink and (b) uplink [33].

Figure 2.13.a shows the downlink case (user multiplexing case), where all the OFDM subcarriers (the whole bandwidth) are divided into subsets of subcarriers. Each subset of the subcarriers is allocated for one mobile receiver. In the uplink direction (Figure 2.13.b), on the other hand OFDM is used as user multiplexing or multiple access, where the OFDM symbol bandwidth is divided into different subsets of the OFDM subcarriers which are used for data transmission from different mobile terminals. The OFDM system, in the case of multiple access is known as the Orthogonal Frequency Division Multiple Access (OFDMA). Figure 2.13 shows that the subcarriers that are transmitted to/from each mobile terminal have adjacent distributions in the frequency domain. The distribution of the subcarriers can also possibly be changed to distributed subcarriers as shown in Figure 2.14. The advantage of using distributed subcarriers, as shown in Figure 2.14, is to spread the bandwidth of the subcarriers allocated for each mobile terminal and as a result, to get additional frequency diversity [33].



Figure 2.14: Distributed user multiplexing [33].

2.3 <u>The MIMO-OFDM WIRELESS COMMUNICATION SYSTEM</u>2.3.1 Introduction to MIMO

The Multiple-Input, Multiple-Output (MIMO) technique is capable to support significantly higher data rates as compared with the Universal Mobile Telecommunications System (UMTS) and the High-Speed Downlink Packet Access (HSDPA) based 3G networks. As shown in Figure 2.15, a MIMO system can exploit both the transmitter and the receiver diversity since information is transmitted through different paths. In comparison with the Single-Input, Single-Output (SISO) systems, the MIMO systems have the following two advantages [32]:

1. A significant increase in the capacity and the spectral efficiency of the system.

2. A dramatic reduction of the effects of fading due to the increased diversity.



Figure 2.15: Configuration of *M* transmitter antennas and *N* receiver antennas in a general MIMO system [32].

2.3.2 The Basic Principles of MIMO System

The multiple antennas system can be used to improve the SNR at the receiver. The SNR improvement is proportional to the number of the transmitting and receiving antennas for the system. Concerning for N_T transmitting antennas and N_R receiving antennas, the gain in the SNR is proportional to the product of $N_T * N_R$ [33]. The gain acquired from increasing the SNR lies in the increase of the data throughput in the same ratio, on condition that the bandwidth is unlimited. Generally, however, the bandwidth is limited, therefore, increasing the number of antennas over some numbers does not introduce additional gain in terms of data rate (the data rate will reach saturation unless the bandwidth increases). To understand the saturation in the data rate, first assume a single antenna system is used and its normalized channel capacity expression is given in equation (2.20)

$$\frac{C}{BW} = \log_2\left(1 + \frac{S}{N}\right)\dots\dots\dots\dots\dots\dots\dots\dots\dots\dots(2.20)$$

where, the SNR can be increased by increasing the number of antennas by means of beam forming, and the SNR growth is proportional to $N_T * N_R$.

Let us assume that the *SNR* is equal to *x*, then in mathematics approximation $\log_2(1 + x)$ is proportional to *x* for small *x* according to Taylor series expansion, therefore, for the low SNR, the capacity growth is linearly proportional to the SNR. However, for a large value of *x*, $\log_2(1 + x) \approx \log_2(x)$, and for large values of the SNR, the capacity grows logarithmically with the SNR. However, for the MIMO system the channel capacity is [33]:

where $N_L = \min\{N_T, N_R\}$, N_T and N_R are the number of transmitters and receivers, respectively.

Because there are N_L parallel channels, the channel capacity for each one is given as in equation (2.21) and the overall channel capacity of such a system is given in the following equation [33]

$$\frac{C}{BW} = N_L * \log_2 \left(1 + \frac{N_R}{N_L} * \frac{S}{N} \right)$$

= min{ N_T, N_R } * log₂ $\left(1 + \frac{N_R}{\min\{N_T, N_R\}} * \frac{S}{N} \right) \dots \dots (2.22)$

Equation (2.22) shows that under certain conditions, the channel capacity is proportional to the number of antennas on condition that there is no saturation in the data rate.

To understand the basic principle of how multiple parallel channels work, consider a 2*2 antenna system as shown in Figure 2.16, two antennas for transmitter and two antennas for receiver,.



Figure 2.16: 2*2 antenna configuration [33].

In addition, assume that the transmitted signal is passing through a flat fading channel, frequency non-selective channel (there is no radio channel time dispersion), and additive white noise. Therefore, the received signal can be expressed in the following equation.

where H is the 2*2 channel matrix and the vector \bar{s} represents the two transmitted signals. At receiver the two transmitted signals s_1 and s_2 can be recovered perfectly without interfering between them on condition that there is no noise effect on the signals and the channel matrix H is invertible as shown in Figure 2.17.



Figure 2.17: Linear reception/demodulation of spatially multiplexed signals [32].

There is no way to get rid of the white noise effect. Therefore, to recover the transmitted signals at the receiver the noise should be taken into account as shown in the following equation [33].

where $W = H^{-1}$.

In the MIMO systems, the maximum number of signals can be transmitted by spatial multiplexing equaling to N_L and $N_L = \min\{N_T, N_R\}$. Practically, the following remarks are to be considered for optimum work of the MIMO system [33]:

- 1- The maximum number of transmitting signals simultaneously is equal to N_T where N_T is the number of the transmitting antennas.
- 2- In a MIMO system of N_R receiving antennas, the maximum number of the interfering signals that can be suppressed, is $N_R - 1$ and therefore a maximum of $N_R - 1$ signals can be spatially multiplexed. It should be noted that the order of the spatial multiplexing is less than N_L given.

- 3- The MIMO system does not work for spatial multiplexing in the case of very bad channel conditions (low SNR at the receiver), instead the transmitting and the receiving antennas should work for beam forming to increase the SNR at the receiver.
- 4- In a more general case, the order of the spatial-multiplexing is determined from the channel matrix properties whose size is $N_T * N_R$. Extra antennas are used for beam forming rather than increasing the order of spatial multiplexing. Pre-coder-based spatial multiplexing is usually used to achieve combined spatial multiplexing and beam forming.

2.3.3 MIMO-OFDM

The three basic parameters used for describing the quality of any wireless link are, the data rate, the transmission range, and the transmission reliability. In the ordinary wireless communication systems, there is always a tradeoff between these three parameters. For example, increasing the data rate demands a degradation in the transmission range or in the transmission reliability or in both. Therefore, to improve any of the parameters, the other two parameters should be degraded. However, these three parameters can be improved simultaneously. Furthermore, a straightforward matrix algebra can be invoked for processing the MIMO-OFDM signals, so that the implementation of MIMO assisted OFDM becomes more efficient. Figure 2.18 shows the whole MIMO-OFDM system [32].



Figure 2.18: MIMO-OFDM transceiver block diagram [32].

2.4 Link Adaptation

2.4.1 Introduction to Link Adaptation

The idea of link adaptation is based on getting a maximum data throughput with an acceptable BER (or with an acceptable block error rate (BLER)) [27], which depends on the state of the channel. The channel state is determined by the receiver side then transmitted back to the transmitter side every time to live (TTL). The process of the channel state transmission from the receiver to the transmitter is known as the channel quality indicator (CQI) report. The CQI is determined by the received SNR at the receiver. After receiving the CQI report by the transmitter side, the modulation and the code scheme of the transmitted data will be changed accordingly. This means that the data rate may be changed with each received CQI report according to the link adaptation algorithm which is pre-identified. Moreover, the capability of the receiver data processing time and the memory speed should be taken into account by the link adaptation algorithm [32].

2.4.2 Link adaptation: Power and rate control

In some wireless communication applications, a power controller is used to adjust the transmitted signal power in order to get an approximately constant SNR at the receiver. A constant SNR means that the power controller is used to compensate the channel fading effects. In poor channel conditions, the power controller transmits high power and vice-versa. The constant SNR at the receiver leads to a nearly constant BER which is needed in many communication systems such as WCDMA and CDMA2000. Figure (2.19.a) shows a power control signal. However, in many wireless communication systems there is no need for a constant data rate at the receiver. The data throughput of such systems is set to be maximum without increasing the transmission bandwidth. To achieve a high data throughput with an accepted BER, a data rate controller at the transmitter is needed. The data rate controller is used to control the data throughput according to the channel conditions state. For good channel conditions, high-order modulation schemes are used to achieve a high data throughput and high spectral efficiency. For poor channel conditions, loworder modulation schemes are used to achieve an accepted BER and to maintain the link between the transmitter and the receiver. Figure (2.19.b) shows a rate control signal.



Figure 2.19: (a) Power control and (b) rate control.

Many researches have proved that rate control is more efficient than power control. As shown in Figure 2.19, a power amplifier in rate control always transmits in full power. On the other hand, the transmitting power of the power amplifier is mostly less than its maximum in power control transmission. In practice, the radio-link data rate is controlled by adjusting the modulation scheme and/or the channel coding rate. For good radio-link conditions, although the SNR at the receiver is high, there is a limitation that the data rate is dependent on the bandwidth of the radio link. Therefore, it is preferable to use higher-order modulation, for example 16QAM or 64QAM, together with a high code rate. But, for poor radiolink conditions, QPSK and low-rate coding are used. Accordingly, rate control link adaptation is sometimes named Adaptive Modulation and Coding (AMC) [33, 36].

Chapter Three

System Design, Simulation and Analyses

3.1 Introduction

In this chapter three types of wireless communication systems are simulated: The single-carrier wireless communication system, the OFDM wireless communication system, and the MIMO-OFDM wireless communication system.

3.2 <u>The SINGLE-CARRIER WIRELESS COMMUNICATION</u> <u>SYSTEM</u>

For a single carrier base band transmission system, totally 10^7 random bits have been generated by using MATLAB function which is random binary sequence generator. The generated bits have been divided into 100 frames each of which contains 10^5 bits. Six modulation types (BPSK, QPSK, 16QAM, 32QAM, 64QAM and 128QAM) have been used to modulate the randomly generated bits. All the calculated BERs are in physical layer and without any error correction. The modulated symbol duration of (66.7µsec) was chosen so that the symbol bandwidth was much less than the coherence bandwidth. The carrier frequency was set to 2.6GHz and the maximum allowable speed of receiver equipment is 160 km/h, so the maximum Doppler shift frequency becomes 385.185Hz. The generated symbols have been transmitted through the AWGN channel and the Rayleigh flat fading channel with the effect of AWGN. Each symbol has been transmitted separately through these two channels. At the receiver, an ideal channel estimation has been done to find the Rayleigh

fading channel parameters. The ideal Rayleigh fading channel parameters have been used with a single tab equalizer (zero forcing equalizer) to compensate the effect of Rayleigh fading channel on the received symbol. After that the received symbols were demodulated by a proper demodulation scheme which matches the modulation scheme that was used at the transmitter side to get the received bits. From the received signal, the BER performance was calculated.

Two different speeds of the receiver equipment have been chosen to experience the Doppler frequency shift effect on the received signal strength. In the first case, the receiver was assumed to move in a crowded traffic so that its speed does not exceed 10 km/h. The effects of such a speed are expected to be like those when the receiver is in a static situation where the signal experiences a flat fading multipath effect. In the second case, the receiver equipment was assumed to move at a speed of 160 km/h as a maximum speed of the moving vehicle. Figure 3.1 shows the effects of the two speeds on the received signal strength.



Figure 3.1: Path gain for Rayleigh fading channel and for two speeds of the receiver 10 km/h and 160 km/h.

For high moving speed, Figure 3.1 shows that, the received signal has severe fluctuations in the signal power strength (i.e. fast fading) due to effect of the high value of the Doppler frequency shift. For low moving speed of the receiver equipment, on the other hand, the signal has slow fading in the signal power strength.

In the case of the practical system, the Rayleigh fading channel parameters have been estimated by assuming 10% of the transmitted data as pilot bits. The pilot bits are inserted between the transmitted data. Therefore 10% of the Rayleigh faded channel parameters can be estimated at equal time spaces. The remaining 90% of the Rayleigh fading channel parameters are interpolated as shown in Figure 3.2.



Figure 3.2: Difference between actual channel and estimated channel.

The practical effect of the Rayleigh fading channel, the samples of the estimated Rayleigh fading channel and the estimated Rayleigh fading channel are shown in Figures 3.3 and 3.4. The two figures are plotted for two different speeds of the receiver and they show this for only one transmitted frame. The estimated channels in the two cases are interpolated to functions of 9th degree.



Figure 3.3: Channel estimation by using 10% of data as a pilot for 10 km/h receiver speed.



Figure 3.4: Channel estimation by using 10% of data as a pilot for 160 km/h receiver speed.

Figure 3.3 shows that at 10 km/h moving speed of the receiver equipment, the actual and the practical estimated Rayleigh fading channels are approximately the same. Figure 3.4 shows that at 160 km/h moving

speed of the receiver equipment there is a difference between the actual and the estimated Rayleigh fading channels, which causes a degradation and limitation in the BER performance of the received signal. The difference between the actual and the estimated Rayleigh fading channels at 160 km/h speed is due to the high Doppler effect which causes a high fluctuation in the actual Rayleigh fading channel as shown in Figure 3.4. In other words, when the receiver equipment speed is 160 km/h, the number of transmitted pilots is not enough to estimate the actual Rayleigh fading channel accurately.

3.3 The OFDM WIRELESS COMMUNICATION SYSTEM

3.3.1 The OFDM Symbol Generation

Two OFDM symbols are generated by using MATLAB. The first symbol is generated by using the multicarrier OFDM system. The multicarrier OFDM system is implemented by using a number of modulators which is equal to the number of the OFDM symbol subcarriers. The hardware implementation of the multicarrier OFDM system is very difficult because there is need for a huge number of modulators, in addition to the difficulty to synchronize these modulators with each other, although the multicarrier OFDM system seemed to be easily implemented by the software program. In practice the multicarrier OFDM needs to be generated and compared with the OFDM symbol that is generated by a single-carrier method (IFFT method). To implement the multicarrier OFDM system in MATLAB, sixty-four modulators are used to modulate 64 different complex symbols that are obtained from three different modulation schemes. The first modulation scheme is BPSK with a zero phase shift, the second is also BPSK but with a phase shift of $\pi/5$ and finally modulating the data with the QPSK modulation scheme. The number 64 has been chosen because the size of the IFFT should be equal to 2^m . The frequency hopping between adjacent modulators is set to 15KHz and the symbol duration is $66.7\mu sec$ ($BW = 2/66.7\mu sec = 30KHz$). The frequency hopping and the symbol duration have been chosen such that orthogonality between subcarriers is achieved. The spectrum of the multicarrier OFDM symbol is plotted by taking the Fourier Transform (F.T) of each modulator output and sums the results as shown in the following figure.



Figure 3.5: Spectrum of orthogonal subcarriers.

Figure 3.5 shows that the bandwidth needed to transmit 64 complex symbols over one OFDM symbol is 960KHz (15KHz * 64). To produce one multicarrier OFDM symbol, the outputs of the modulators are

summed. The duration of the generated multicarrier OFDM symbol becomes 66.7µ*sec*.

The second set of OFDM symbols have been generated for the three modulation schemes as mentioned before, by using a single-carrier OFDM system (using IFFT instead of modulators). The hardware implementation of a single carrier OFDM system is easier than a multicarrier OFDM system because it replaces the modulators and summation by IFFT and the parallel to serial convertor. The time spaces between the output samples of the parallel to serial convertors determine the OFDM symbol duration and as a result determine the subcarrier bandwidth. The time space between the samples that are the outputs of parallel to serial was set to $1.0587\mu sec$ (66.7 $\mu sec/$ (64 – 1)) in order to get an OFDM symbol duration of 66.7 μsec . Figures 3.6, 3.7 and 3.8 show the results of the multicarrier and single carrier for different modulation schemes.



Figure 3.6: Comparison between the multicarrier and single carrier OFDM symbol for BPSK modulation with zero phase shifts and for the same transmitted bits where, (a) and (b) are for multicarrier, (c) and (d) are for single carrier.

Figure 3.6 shows the real and imaginary parts of the ODFM symbol that are generated by using multicarrier and single carrier methods. In this figure, the modulation used is BPSK with a zero phase shift (i.e. real values of 1 and -1). The signal results of the two methods are obviously identical and each of them contains 64 complex samples with a duration of 66.7µsec. Figure 3.6 shows that the second half of the complex OFDM symbol is the complex conjugate of the first half except the first and middle points, since the first point represents the dc value and the middle point has no conjugate because the number of output points is even (the first point is the DC value, so there remain 63 samples which are divided into two groups of 31 samples each; the values of one group are the complex conjugates of those of the other group and the remaining one point in the middle is strictly real so that it is a complex conjugate of itself). The output of the IFFT and the FFT is a complex conjugate if their inputs are real values. Figure 3.7 shows that the multicarrier and single-carrier OFDM symbols of BPSK modulation with $\pi/5$ phase shift are the same.



Figure 3.7: Comparison between the multicarrier and single carrier OFDM symbol for BPSK modulation with $\pi/5$ phase shifts and for the same transmitted bits where (a) and (b) are for multicarrier, (c) and (d) are for single carrier.

The difference between Figure 3.6 and Figure 3.7 is that each generated OFDM symbol in Figure 3.6 consists of complex values such that the first-half values are conjugates of the second half of the symbol, whereas the generated OFDM symbol in Figure 3.7 has complex values without conjugates. Therefore, there is no need for transmitting the conjugates of the multicarrier OFDM symbol and the time needed to transmit the symbol in Figure 3.6 becomes half of the time required to transmit a single-carrier OFDM symbol as in Figure 3.7.

Except for the BPSK modulation with zero phase shift, all the other modulation scheme outputs are in complex form without conjugates. Figure 3.8 shows a comparison between the multicarrier and single carrier OFDM symbol for QPSK modulation with zero phase shifts and for the same transmitted bits (simulated for 64 subcarriers).



Figure 3.8: Comparison between the multicarrier and single carrier OFDM symbol for QPSK modulation with $\pi/5$ phase shifts and for the same transmitted bits where (a) and (b) are for multicarrier, (c) and (d) are for single carrier.
Figures 3.7 and 3.8 show a general case of the generated OFDM symbol that is modulated by IFFT and also show that the results are in complex form. Therefore, the whole OFDM symbol (real and imaginary parts) should be transmitted to the receiver side. Because the sine and cosine waves are perpendicular to each other, each of them is used simultaneously to modulate the real and imaginary parts of the OFDM symbol separately. Usually, the real part is up converted by using the sine carrier and the imaginary part is up converted by using the cosine carrier. Figure 3.9 shows the power spectrum of the OFDM symbol for the case of 64 QPSK modulated symbols. The spectrum is plotted by taking $(20log_{10}abs\{(F.T(real or imag of(x(t))))\})$ where x(t) is the complex OFDM symbol and F.T is the Fourier transform. Figure (3.10.a) is for the real part, and Figure (3.10.b) for imaginary part.



Figure 3.9: Spectrum of x(t) as output of OFDM in QPSK (a) Real part spectrum (b) imaginary part spectrum.

Figure 3.9 shows that the bandwidth required to transmit the real part and the imaginary part is the same and equal to 960 *KHz* (15KHz * 64) where 64 is the number of symbols.

For 128 complex symbols and for QPSK modulation, the obtained OFDM symbol is shown in the following figure:



Figure 3.10: Comparison of the multicarrier and single carrier OFDM symbol in QPSK for the same transmitted bits (simulated for 128 subcarriers) where (a) and (b) are for multicarrier, (c) and (d) are for single carrier.

Figure 3.10 shows that the OFDM symbol time duration in the case of 128 modulated QPSK symbols is the same as the OFDM symbol time duration in the case of 64 modulated QPSK symbols as in Figure 3.8. The difference in time domain is the number of samples where in Figure 3.10 it is twice the number of samples in Figure 3.8. The other difference between the two cases is the bandwidth as shown in Figure 3.11, which shows that the bandwidth needed for the 128 symbols is twice the bandwidth needed for 64 symbols. Since the imaginary part spectrum bandwidth is the same as the real part spectrum bandwidth, there is no need for plotting it in Figure 3.11.



Figure 3.11: Real values spectrum comparison for two OFDM symbols (a) for 64 QPSK symbols and (b) for 128 QPSK symbols.

The work that has been done for the OFDM system until this point analyses and shows how the OFDM symbol is generated for 64 or 128 symbols (considered as a small number in real the world). In the next chapter, the LTE protocols are used in real the world and therefore 2048 symbols are taken into account.

3.3.2 LTE Time domain of the OFDM symbol without CP

According to the LTE protocol [37], the available bandwidth is 20MHz, the subcarrier spacing is 15KHz the number of subcarriers is 1200 subcarriers, and the carrier frequency is 2.6GHz. Two carriers are used to modulate the OFDM symbols, the sine carrier is used to modulate the real part and the cosine carrier is used to modulate the complex part, or vice-versa. Each OFDM subcarrier is modulated with a different complex symbol (QPSK, 16QAM, 32QAM, 64QAM, 128QAM or 256QAM). The modulation process of the subcarriers is done by using IFFT of size 2048 (2^{11}) . The first 424 and the last 424 IFFT inputs are zeros padded in order to get a symmetric zero padding. The remaining 1200 inputs of the IFFT are connected to the complex modulated symbols that are produced from the used modulation scheme. According to the IFFT size, the OFDM symbol consists of 2048 complex samples which are obtained after the parallel to serial convertor. The number of transmitted OFDM real samples is 2048. The number of transmitted OFDM imaginary samples is 2048. Therefore, two signals are transmitted simultaneously. Figure 3.12 shows the transmitter structure of the OFDM system. The time space between each of the adjacent OFDM samples is $0.0326 \,\mu sec$ (66.7 μsec / (2048 -1)), so the overall OFDM symbols duration is 66.7 µsec. In practice, the output samples are passed through LPF before converting them from digital to analog and to get their final shapes, then they are up converted and transmitted through the channel. In the MATLAB there is no need to use the LPF because the MATLAB program processes the signal in digital form. The bandwidth occupied by the OFDM symbol is 18MHz (1200 * 15KHz). The remaining 2MHz is used as a guard band. Figure 3.13 shows a normalized ODFM symbol in time domain before channel and noise effects. In this case, there is also no cyclic prefix insertion. In this present work, the scaling is always applied and has no effect on the system performance because a percentage noise is added to the signal to get the desired SNR at the receiver.



Figure 3.12: Transmitter structure of the OFDM system.



Figure 3.13: Transmitted OFDM symbol for QPSK modulation and without cyclic prefix (a) real part (b) imaginary part.

To show the spectrum of the previous OFDM signal, the signal applied to Fast Fourier Transform has a size larger than the IFFT size used in the transmitter side. Figure 3.14 shows the spectrum of the OFDM symbol in the base band where absolute values are applied.



Figure 3.14: Amplitude spectrum of the transmitted OFDM symbol for QPSK modulation without CP (a) real part (b) imaginary part.

To have a clear idea about the OFDM symbol spectrum, the power spectral of the OFDM symbol is plotted by taking the $(20log_{10}abs\{((F.T(real(x(t)))))\})$ and $(20log_{10}abs\{((F.T(imag(x(t)))))\}))$ where x(t) is the complex OFDM symbol and F.T is the Fourier transform. Figure 3.15 shows the power spectrum of one OFDM symbol.



Figure 3.15: Power spectrum of the transmitted OFDM symbol without CP (a) real part (b) imaginary part.

Figures 3.14 and 3.15 show that the bandwidth of the real and imaginary parts is the same. To determine the bandwidth of the transmitted OFDM symbol, one can use the real or the imaginary part only. Figure 3.16 shows the real part OFDM symbol bandwidth in zooming.



Figure 3.16: OFDM symbol bandwidth (a) without zooming (b), (c) with zooming.

Figure 3.16 shows that the OFDM bandwidth is 18MHz (6.43MHz-24.3MHz). The power spectrum outside the mentioned range is fast decaying from 0dB to around -40dB. In practice, a band pass filter is also used to attenuate the frequencies outside the specified bandwidth range in order to reduce the interference with the adjacent bands. In the present work, the band pass filter is not considered since there is no interference with adjacent spectra because the MATLAB software assumes that there are no other communication systems.

The pattern of the zero padding to the IFFT is very important. For the symmetric zero padding at the two sides of the inputs of the IFFT, the OFDM symbol subcarriers are orthogonal to each other because 18MHz is needed to transmit one complex OFDM symbol which contains 1200 complex symbols (Figure 3.16).

Another form of symmetric zero padding is obtained when the complex input symbols are divided into two groups of 600 complex symbols (1200/2). The first group is applied to the first 600 IFFT inputs and the second group is applied to the last 600 IFFT inputs. The remaining 848 middle inputs out of the 2048 point size of the IFFT are zero padded. The power spectrum of the second zero padding form is shown in Figure 3.17.



Figure 3.17: The power spectrum for the second zero padding form (a) for real part (b) for imaginary part.

Figure 3.17 (a) or (b) shows the bandwidth needed to transmit the OFDM symbol which is 18MHz and is divided into two equal parts separated by a gap of 12.72MHz (848 * 15KHz). According to Figure 3.17, the second type of zero padding cannot be used because the available bandwidth according to the LTE protocol is continuous through the 20MHz as in Figure 3.16. The third type of zero padding is asymmetric where the complex input symbols are applied to the first 1200 IFFT inputs. The remaining 848 are zero padded. The fourth type of zero padding is also asymmetric but the complex input symbols are applied to the last 1200 IFFT inputs. The remaining 848 are zero padded. The OFDM symbol power spectra for the two asymmetric cases are shown in Figures 3.18 and 3.19.



Figure 3.18: The power spectrum for the third zero padding form (a) for real part (b) for imaginary part.



Figure 3.19: The power spectrum for the fourth zero padding form (a) for real part (b) for imaginary part.

Figures 3.18 and 3.19 show that the subcarriers orthogonality of the OFDM symbols, which are produced from the third and fourth zero padding methods, are lost. Therefore, in these two cases the bandwidth needed to transmit the 1200 complex symbols is 30.72MHz (2048 * 15KHz) as shown in Figures 3.18 and 3.19. In addition, the band pass filter cannot be used to restrict the bandwidth of the transmitted OFDM symbols to 18MHz because the components outside the range 6.43MHz to 24.3MHz have high power. Therefore, the third and fourth methods for zero padding are band wasting and inefficient to use.

One can conclude that the best and most applicable method for the IFFT zero padding to generate the OFDM symbol is the first method (symmetric zero padding at the two sides of the IFFT inputs). One can conclude that the spectrum bandwidth of the real part and the imaginary part is the same.

3.3.3 Cyclic prefix insertion

Although the OFDM system is used to eliminate the problem of the ISI there is an ISI in the initial part of the OFDM symbol only. The solution to this problem is to copy the last part of the OFDM symbol and insert it at the beginning of it; this process is known as cyclic prefix insertion (CP). The cyclic prefix added to the OFDM symbol at the transmitter should be removed at the receiver. In the present work, the CP duration is chosen to be $4.7\mu sec$ according to the LTE protocol. After CP insertion, the OFDM symbol duration becomes 71.4 μsec (66.7 μsec + $4.7\mu sec$). The CP insertion is done by taking the last 144 samples $(4.7\mu sec/0.0326\mu sec)$ of the OFDM symbol and inserting them at the beginning of the OFDM symbol as shown in Figure 3.20.



Figure 3.20: CP insertion in the transmitted OFDM symbol (a) real part (b) imaginary part.

The power spectrum of the OFDM symbol after adding the CP is shown in Figure 3.21:



Figure 3.21: Power spectrum of the transmitted OFDM symbol (a) real part (b) imaginary part.

To see the details of the power spectrum of the OFDM symbol, zooming was done on parts of the graph before adding the CP and after adding it. Figure 3.22 shows that zooming only the real part of the complex OFDM symbol is considered in the graph since the imaginary part has the same details.



Figure 3.22: (a) Power spectrum of the OFDM symbol before adding CP and after adding it (b), (c) zoomed of the beginning and the end of (a).

It is obvious from Figures 3.21 and 3.22 that the bandwidth needed to transmit one OFDM symbol before and after adding CP is the same. Figure 3.23 shows the bandwidth of the CP part of the OFDM symbol.



Figure 3.23: Power spectrum of the CP part of the transmitted OFDM symbol (a) real part (b) imaginary part.

Figure 3.23 shows that the CP added to the OFDM symbol has the same bandwidth of the OFDM symbol before adding the CP. Therefore, the added CP does not introduce an extra bandwidth to the transmitted OFDM symbol.

After generating the ODFM symbol, it is up converted to the desired frequency then passed through the channel. In the computer simulation, it is difficult to generate a signal with a high frequency (2.6 GHz), which means generating a large number of samples to form the signal. This large number of samples also needs a huge buffer size and a very high-speed data processor. In the MATLAB program, scaling can be used to overcome the problem of high frequencies by dealing with the signal in the baseband. In MATLAB, there is a function used to generate the Rayleigh flat fading channel effects taking into account the used carrier frequency and the Doppler effects. The scaling is done by multiplying the baseband OFDM symbol by the generated Rayleigh flat fading channel for the desired carrier frequency (in this project 2.6GHz). In practice, the channel is convoluted with the signal to produce a signal convolving the channel parameters. The convolution process can be achieved by multiplication if the signal is assumed to be transmitted on a flat fading channel with a delay spread of less than 1% of the signal length, which is considered in the present work [38]. In addition, Matlab software considers this rule for the flat fading channel.

3.3.4 Channel effect on the received OFDM symbol

3.3.4.1 Channel characterization

The effect of the channel on the received OFDM symbol is characterized by multiplying the transmitted complex OFDM symbol samples by the Rayleigh coefficients, then adding the effect of the AWGN. The Rayleigh coefficients are generated softwarely by one of the MATLAB functions. The inputs to the function that generates the Rayleigh coefficients are the carrier frequency (which is equal to 2.6GHz), the symbol duration (which is equal to 66.7 μ sec), the Doppler frequency, the number of multipath (which is equal to 1 in this case), the coefficient gains normalized to 1 and a control key of the Rayleigh coefficients in order to restart the Rayleigh coefficients for each new symbol. The generated Rayleigh coefficients are in complex form. The number of the Rayleigh coefficients is equal to the number of samples of one OFDM symbol. The OFDM symbol duration is 66.7 µsec (excluding CP) because only one path is assumed between the transmitter and the receiver. In this case, adding CP to the OFDM symbol does not enhance the system performance and can be cancelled. In this project, the transmitter is assumed as a base station and the receiver is assumed as a mobile, therefore, the Doppler effects are associated with the speed and the direction of the receiver. AWGN is considered to have its effects on the signal distorted by the Rayleigh fading channel. The AWGN channel is generated by using a MATLAB function which measures the signal power and adding the AWGN in such a way so that the desired SNR can be achieved at the receiver. The generated AWGN coefficients are in complex form with a real part and an imaginary part that are generated independently according to the AWGN statistics. The number of generated AWGN channel samples is equal to the number of the samples for one OFDM symbol. The AWGN channel is regenerated on each new OFDM symbol. To show the channel effects on the received OFDM symbol, two speeds of the receiver are considered, 10 and 100 km/h.

3.3.4.2 Effect of 10 km/h receiver speed on the received OFDM symbol

For 10 km/h receiver speed, the Rayleigh fading channel variations for one OFDM symbol are shown in Figure 3.24. Figure (3.26.a) represents the path gain and it is plotted by taking $20log_{10}(|h(t)|)$ where h(t) is the impulse response of the channel. Figure (3.26.b) represents the phase delay and it is plotted by taking

$$tan^{-1}(Im(h(t))/Re(h(t))).$$



Figure 3.24: Rayleigh fading channel variation for 10 km/h speed of receiver (a) path gain (b) phase shift.

The effect of the Rayleigh fading channel on the received OFDM symbol before adding the AWGN is shown in Figure 3.25. Figure (3.27.a) shows the transmitted OFDM symbol before and after multiplying it by the Rayleigh channel coefficients. Figure 3.25 (b), (c) shows the zoomed parts of Figure 3.25.a.



Figure 3.25: Transmitted OFDM symbol without channel effect and with Rayleigh channel effect (a) the whole OFDM symbol (b), (c) zoomed parts.

Figures (3.24a) and (3.25.a) show that the OFDM symbol severely fades at some parts due to destructive interference; see for example, the region from $0\mu sec$ to $15\mu sec$ or from $34\mu sec$ to $42\mu sec$. Meanwhile, during some parts of the OFDM symbol, the signal may have high gain due to constructive interference; see for example the region from 16 μsec to $33\mu sec$. Figure 3.25 (b), (c) shows two different parts of the

transmitted OFDM symbol where in (b) a destructive interference occurs and in (c) a constructive interference occurs.

Figure 3.26 shows the power spectrum of the OFDM symbol before and after the Rayleigh effects for a 10 km/h speed of the receiver.



Figure 3.26: (a) OFDM symbol spectrum bfore Rayleigh effcect and after Rayeigh effect and for 10 km/h receiver speed. (b), (c) zoomed of the beginning and the end of (a).

Figure 3.26 shows the spectrum of the OFDM symbol affected by a Rayleigh channel with a receiver speed of 10 km/h. It is different from the spectrum of the signal without a Rayleigh channel effect. The Doppler effect results in broadening the spectrum bandwidth. The bandwidth broadening in the spectrum shown in Figure 3.26 is small because the speed of the receiver is low.

3.3.4.3 Effect of 100 km/h receiver speed on the received OFDM symbol

For 100 km/h receiver speed, the Rayleigh fading channel variations for one OFDM symbol is shown in Figure 3.29.



Figure 3.27: Rayleigh fading channel state for 100 km/h speed of receiver (a) path gain (b) phase shift (c) channel effect on the symbol.

Figures 3.24 and 3.27 (a), (b) show that when the speed of the receiver increases, the fluctuations of the Rayleigh channel for the same period of time also increases. The increasing fluctuations in channel make the channel estimation at the receiver less accurate, which leads to

degrading the system performance as mentioned in Chapter One. The spectrum of the OFDM symbol affected by the Rayleigh fading channel for100 km/h speed of the receiver is shown in Figure 3.28.



Figure 3.28: (a) OFDM symbol spectrum before Rayleigh effect and after Rayeigh effect and for 100 km/h receiver speed. (b), (c) zoomed of (a).

Figure 3.28 (b) and (c) shows the broadened OFDM symbol spectrum due to the Doppler effects. The conclusion that may be drown from Figures 3.26 and 3.28 is that the broadening in spectrum is proportional to the speed of the receiver. From the spectrum broadening, the speed of the receiver can be calculated so that it can be used later as an input parameter to determine the best modulation scheme that can be used with the instantaneous channel state.

3.3.4.4 Effect of AWGN on the received OFDM symbol

The other effect of channel on the received signal is the AWGN, which is added to the signal after considering the effect of the Rayleigh fading channel. As mentioned previously, the value of the AWGN that is added to the signal is determined by the desired SNR value. Figures 3.29, 3.30 and 3.31 show the effect of the 0dB, 10dB and 20dB SNR on the received OFDM symbols, respectively.



Figure 3.29: (a) AWGN channel effect on the received OFDM symbol with Rayleigh channel effect to achieve 0dB SNR at the receiver (b) zoomed part of signal (c) the spectrum of the noise over 30MHz bandwidth.

Figure 3.29 (a) and (b) shows the effect of noise on the OFDM symbol in time domain. Figure 3.29 (c) shows that the power spectrum of noise is around 0dB, which means the signal power is equal to the noise power.



Figure 3.30: (a) AWGN channel effect on the received OFDM symbol with Rayleigh channel effect to achieve 10dB SNR at the receiver (b) zoomed part of signal (c) the spectrum of noise over 30MHz bandwidth.



Figure 3.31: (a) AWGN channel effect on the received OFDM symbol Rayleigh channel effect to achieve 20dB SNR at the receiver (b) zoomed part of signal (c) the spectrum of noise over 30MHz bandwidth.

Figure (3.30 c) shows that the noise power is 10 dB below the signal power. Figure (3.31 c) shows that the noise spectrum power is 20 dB below the signal power. Figure (3.29 b), Figure (3.30 b) and Figure

(3.31 b) show that the noisy samples are similar to the samples without noise as the value of the SNR increases.

3.3.5 OFDM receiver

In the OFDM receiver, a single tab equalizer is used to compensate the Rayleigh fading channel effects. A single tab equalizer in the OFDM system receiver can be used instead of the multi-tab equalizers because the idea of the OFDM system is to convert the transmitted signal into a multiple of narrow band subcarriers. Each narrow band subcarrier is affected by a flat fading channel. As mentioned previously the transmitted OFDM symbol consists of a real part and an imaginary part, each of which is transmitted separately with a sine or cosine wave. Figures 3.32, 3.33 and 3.36 show the recovered real part OFDM symbol after the equalizer with ideal channel estimation and for 0dB, 10dB and 30dB SNRs, respectively. The imaginary part equalization process is similar to the real part equalization process.



Figure 3.32: Received equalized OFDM symbol for 0dB SNR at the receiver.



Figure 3.33: Received equalized OFDM symbol for 10dB SNR at the receiver.



Figure 3.34: Received equalized OFDM symbol for 30dB SNR at the receiver.

After the equalization of the real part and the imaginary part, the two equalized parts are summed to reproduce the transmitted complex OFDM symbol of size 2048 complex samples affected by the noise. The recovered complex OFDM symbol is applied to a Fourier Transformation of size 2048, which is similar to the transmitting side where the modulated symbols are applied to an Inverse Fourier Transform of size 2048. In addition, the first 424 and the last 424 complex samples of the FFT output are ignored since in IFFT these samples are considered as zeros. However the ignored samples may have small complex values rather than zeros because the samples may be corrupted by AWGN. The remaining samples are 1200 containing all the transmitted information but corrupted with noise. The 1200 complex samples are then applied to a proper demodulation scheme (QPSK, 16QAM, 32QAM, 64QAM, 128QAM or 256QAM). The selected demodulation scheme in the receiver side to recover the transmitted data in the binary form is the same modulation scheme used in the transmitter side.

3.4 THE MIMO-OFDM WIRELESS COMMUNICATION SYSTEM

3.4.1 Introduction

The benefit of using MIMO-OFDM is to increase the data throughput without increasing the used bandwidth. The idea of the MIMO-OFDM is to transmit a multiple of OFDM symbols from multiple antennas simultaneously, with the same frequency band (spatial multiplexing). The MIMO system used in this work is 2*2 MIMO (two transmit antennas and two receive antennas). The OFDM symbol specifications used to assist MIMO are mentioned in Chapter Two (according to the LTE protocol). In this work, the MIMO antennas are used for transmitting two parallel streams of data but for beam forming.

3.4.2 The MIMO-OFDM system transmitter

Since two transmitting antennas are used, two ODFM symbols can be transmitted simultaneously. Each OFDM symbol is generated by using the IFFT method which produces complex OFDM symbols. Therefore, the whole OFDM symbol (i.e. the real and the imaginary parts) should be transmitted to the receiver side. The two transmitted OFDM symbols are shown in Figures 3.35 and 3.36.



Figure 3.35: Transmitted OFDM symbol from antenna 1 (a) real part (b) imaginary part.



Figure 3.36: Transmitted OFDM symbol from antenna 2 (a) real part (b) imaginary part.

The bandwidth of the two transmitted OFDM symbols, for the 2*2 MIMO-OFDM system, are similar to those of the OFDM symbols transmitted see section 3.3.2, (Figures 3.14 and 3.15), therefore they are not plotted here.

3.4.3 The MIMO channel effect on the two transmitted OFDM symbols

The m*n-MIMO system requires m*n independent channels to be simulated where m represents the number of transmit antennas and n represents the number of receive antennas. Since a 2*2 MIMO-OFDM system has been used, four Rayleigh flat fading channels should be simulated simultaneously in whichever every receive antenna should be connected to every transmit antenna with one of the four simulated Rayleigh flat fading channels. Figure 3.37 represents the path gains of the four channels between the transmitter and the receiver where the receiver speed is 20 km/h (the effect of the speed on the received signal is explained in the previous chapter). Each path gain is plotted by taking $20log_{10}(|h_{ij}(t)|)$ where $h_{ij}(t)$ is the complex Rayleigh flat fading channel coefficients, the subscript *i* represents the transmit antenna number and the subscript *j* represents the received antenna number. Figure 3.38 shows the phase delays of the four Rayleigh flating channels. Each phase delay is plotted by calculating $tan^{-1}(Im(h_{ij}(t))/Re(h_{ij}(t)))$.



Figure 3.37: Path gains of the Rayleigh fading channel variations for 20 km/h speed of the receiver and for the four paths between the transmitter and receiver.



Figure 3.38: Phase shift of the Rayleigh fading channel variations for 20 km/h speed of the receiver for the four paths between the transmitter and receiver.

In the time domain, since the effect of the Rayleigh channel on the OFDM symbol is flat fading, the effect of the channel can be represented as a multiplicative effect (as mentioned in the previous chapter). The transmitted OFDM symbol from transmitter antenna 1 will be received by the receiver antenna 1 and the receiver antenna 2. Therefore, this symbol will be affected by two different Rayleigh flat fading channels (two different paths) in a multiplicative way, then the AWGN will be added to each path separately. Moreover, the transmitted OFDM symbol from transmitter antenna 2 will be received by the receiver antenna 1 and the receiver antenna 2. This symbol will be affected by two different Rayleigh flat fading channels (two different paths) in a multiplicative way, then the AWGN will be added to each path separately. In practice the added noise power for each of the four paths may be different but in the present work equal noise powers are assumed to be added to all channels.

3.4.4 The MIMO-OFDM system receiver

At the receiver, two complex signals are received, signal1 is received from antenna 1 and signal 2 is received form antenna 2. Each received signal is obtained by adding the two transmitted OFDM symbols which are distorted by the Rayleigh flat fading and AWGN. The value of the AWGN that is added to the signal is determined by the desired SNR value. By assuming that a high value of SNR is achieved, the signal received by antenna 1 is shown in Figure 3.39, and the signal received by antenna 2 is shown in Figure 3.40.



Figure 3.39: Received signal at receiver antenna 1 (a) real part (b) imaginary part.



Figure 3.40: Received signal at receiver antenna 2 (a) real part (b) imaginary part.

The power spectra of the two complex received signals are plotted in Figures 3.41 and 3.42.



Figure 3.41: Power spectrum of the received signal at receiver antenna 1 (a) real part (b) imaginary part.



Figure 3.42: Power spectrum of the received signal at receiver antenna 2 (a) real part (b) imaginary part.

Figures 3.41 and 3.42 show that the bandwidth of the power spectrum of the two received signals, by antenna 1 and by antenna 2, is the same as that of the transmitted OFDM symbols without using MIMO (Chapter Two). The two received signals can be expressed by the following equation [33]

Where the vector \bar{r} represents the two received signals, H is the 2*2 channel matrix and the vector \bar{s} represents the two transmitted signals. At the receiver, the two transmitted signals s_1 and s_2 can be recovered by using a MIMO single tab zero forcing equalizer (ZF) on condition that the channel matrix H is invertible. In this project, assuming that 10% of the transmitted data are transmitted as pilots, only 10% of the Raleigh channel coefficients are known at the receiver and the remaining channel coefficients are interpolated. Equation (3-2) shows the mathematical representation of the MIMO single tab zero forcing equalizer [33].

where $W = H^{-1}$.

Figures 3.43, 3.44 and 3.45 show the recovered real parts, of the transmitted OFDM symbol from antenna 1 after the equalizer for 0dB, 20dB and 40dB SNRs, respectively. The imaginary part equalization process is similar to the real part equalization process.



Figure 3.43: Amplitude of received equalized OFDM symbol which is transmitted from antenna 1 for 0dB SNR at the receiver.



Figure 3.44: Amplitude of received equalized OFDM symbol which is transmitted from antenna 1 for 20dB SNR at the receiver.



Figure 3.45: Amplitude of received equalized OFDM symbol which is transmitted from antenna 1 for 40dB SNR at the receiver.

Figures 3.46, 3.47 and 3.48 show the recovered real parts of the transmitted OFDM symbol from antenna 2 after the equalizer for 0dB, 20dB and 40dB SNRs, respectively. The imaginary part equalization process is similar to the real part equalization process.



Figure 3.46: Amplitude of received equalized OFDM symbol which is transmitted from antenna 2 for 0dB SNR at the receiver.



Figure 3.47: Amplitude of received equalized OFDM symbol which is transmitted from antenna 2 for 20dB SNR at the receiver.



Figure 3.48: Amplitude of received equalized OFDM symbol which is transmitted from antenna 2 for 40dB SNR at the receiver.

Figures 3.43-3.48 show that the detected symbols for high SNR (good channel conditions) are more accurate than the detected symbols in low SNR (bad channel conditions).

Chapter Four

Results and Discussions

4.1 Introduction

The results in this chapter are extracted from the simulated system described in Chapter Three. The results mainly include the behavior of the system characterized by the BER according to the noise of the channel (AWGN) and the Rayleigh fading, and for different speeds of the receiver.

4.2 The Single Carrier Wireless Communication System

The relation between BER and SNR performance for BPSK modulation/demodulation types at different receiver equipment speed and for ideal channel estimation is shown in Figure 4.1.



Figure 4.1 BER curves for BPSK with ideal channel estimation through Rayleigh fading channel and corrupted with AWGN.

Figure 4.1 shows that the BER performance of the receiver for different speeds the moving is same as the performance of the static receiver that is affected by the Rayleigh multipath channel with AWGN but without any effect of the Doppler frequency shift. The effect of the Doppler shift frequency on the received signal has been completely removed since the prefect Rayleigh fading channel parameters are considered in calculating the received signal. The received signal is calculated by multiplying it by the inverse of the perfect Rayleigh fading channel parameters because a flat fading channel is assumed.

The relationship between the BER and the SNR performance for (BPSK, OPSK. 16QAM, 32QAM, 64QAM, and 128QAM) modulation/demodulation types and at different receiver equipment speeds has been found by assuming 10% of the transmitted data as pilots to estimate the actual Rayleigh fading channel coefficients; this means that only 10% of the channel points are estimated and interpolated to estimate the whole channel curve (see Figures 3.3 and 3.4). The effect of the Rayleigh fading channel has been nearly removed by considering the estimated Rayleigh fading channel coefficients in the single tab equalizer. Then, the two outputs of the equalizer have been applied to a proper demodulation scheme to recover the transmitting bits with a BER as shown in Figures 4.2, 4.3, 4.4, 4.5, 4.6 and 4.7. The range of SNR is form 0dB to 60dB. The step size of SNR is set to 1dB.


Figure 4.2: BER curves for BPSK for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.3: BER curves for QPSK for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.4: BER curves for 16QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.5: BER curves for 32QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.6: BER curves for 64QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.7: BER curves for 128QAM for different speeds, 10% of transmitted data are used for channel estimation.

Figure 4.2-4.7 show that the worst BER performances of the used modulations have occurred at the maximum moving receiver speed which is 160Km/s. The BER performance improves as the speed of the receiver equipment decreases.

The choice of best modulation scheme for the instantaneous channel conditions to achieve the desired BER depends on the values of the SNR and the Doppler frequency shifts (speed of the receiver) which are known for the receiver. The following tables show the best modulation scheme used for the instantaneous SNR and the receiver speed. For example, if it is required to decide the type of modulation for a BER of 10^-3 or less, the shaded values in the following tables specify the type of modulation scheme according to the speed of the receiver.

Table 4.1: Single carrier best BER for 0dB SNR

Mod	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM		
speea 🔨								
0km/h	10^-0.834	10^-0.676	10^-0.494	10^-0.449	10^-0.421	10^-0.399		
10km/h	10^-0.837	10^-0.677	10^-0.494	10^-0.45	10^-0.421	10^-0.4		
40km/h	10^-0.835	10^-0.676	10^-0.494	10^-0.448	10^-0.421	10^-0.399		
100km/h	10^-0.835	10^-0.674	10^-0.493	10^-0.448	10^-0.42	10^-0.4		
160km/h	10^-0.83	10^-0.671	10^-0.489	10^-0.445	10^-0.423	10^-0.396		

Table 4.2: Single carrier best BER for 10dB SNR

Mod	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM
speed			-	-		
0km/h	10^-1.632	10^-1.367	10^-0.926	10^-0.772	10^-0.69	10^-0.608
10km/h	10^-1.642	10^-1.369	10^-0.927	10^-0.773	10^-0.691	10^-0.61
40km/h	10^-1.635	10^-1.364	10^-0.924	10^-0.772	10^-0.688	10^-0.61
100km/h	10^-1.628	10^-1.357	10^-0.919	10^-0.769	10^-0.679	10^-0.602
160km/h	10^-1.604	10^-1.336	10^-0.896	10^-0.744	10^-0.666	10^-0.585

Mod	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM		
speed				-	-			
0km/h	10^-2.602	10^-2.306	10^-1.733	10^-1.477	10^-1.287	10^-1.096		
10km/h	10^-2.644	10^-2.328	10^-1.753	10^-1.477	10^-1.293	10^-1.101		
40km/h	10^-2.62	10^-2.32	10^-1.731	10^-1.467	10^-1.277	10^-1.093		
100km/h	10^-2.567	10^-2.264	10^-1.687	10^-1.44	10^-1.226	10^-1.049		
160km/h	10^-2.366	10^-2.061	10^-1.533	10^-1.242	10^-1.08	10^-0.898		

Table 4.3: Single carrier best BER for 20dB SNR

Table 4.4: Single carrier best BER for 30dB SNR

Mod	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM
speed			_	_	_	_
0km/h	10^-3.617	10^-3.312	10^-2.701	10^-2.438	10^-2.196	10^-1.936
10km/h	10^-3.601	10^-3.304	10^-2.721	10^-2.432	10^-2.203	10^-1.946
40km/h	10^-3.584	10^-3.304	10^-2.693	10^-2.427	10^-2.201	10^-1.914
100km/h	10^-3.287	10^-3.000	10^-2.458	10^-2.16	10^-1.897	10^-1.582
160km/h	10^-2.678	10^-2.387	10^-1.865	10^-1.572	10^-1.331	10^-1.112

Table 4.5: Single carrier best BER for 40dB SNR

Mod speed	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM
0km/h	10^-4.546	10^-4.323	10^-3.715	10^-3.427	10^-3.181	10^-2.916
10km/h	10^-4.623	10^-4.296	10^-3.741	10^-3.444	10^-3.178	10^-2.904
40km/h	10^-4.491	10^-4.196	10^-3.572	10^-3.326	10^-3.068	10^-2.861
100km/h	10^-3.577	10^-3.262	10^-2.724	10^-2.487	10^-2.15	10^-1.854
160km/h	10^-2.73	10^-2.411	10^-1.94	10^-1.591	10^-1.368	10^-1.159

Table 4.6: Single carrier best BER for 50dB SNR

Mod speed	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM
0km/h	10^-5.552	10^-5.382	10^-4.694	10^-4.464	10^-4.171	10^-3.899
10km/h	10^-5.584	10^-5.248	10^-4.659	10^-4.424	10^-4.153	10^-3.918
40km/h	10^-5.075	10^-4.8	10^-4.226	10^-3.851	10^-3.641	10^-3.416
100km/h	10^-3.586	10^-3.305	10^-2.711	10^-2.492	10^-2.217	10^-1.914
160km/h	10^-2.739	10^-2.441	10^-1.94	10^-1.603	10^-1.391	10^-1.126

Table 4.7: Single carrier best BER for 60dB SNR

Mod speed	BPSK	QPSK	16QAM	32QAM	64QAM	128QAM
0km/h		10^-6.146	10^-5.698	10^-5.501	10^-5.103	10^-4.832
10km/h	10^-6.698	10^-6.191	10^-5.55	10^-5.261	10^-5.223	10^-4.844
40km/h	10^-5.236	10^-4.895	10^-4.389	10^-4.033	10^-3.756	10^-3.51
100km/h	10^-3.576	10^-3.312	10^-2.746	10^-2.443	10^-2.196	10^-1.926
160km/h	10^-2.738	10^-2.419	10^-1.924	10^-1.619	10^-1.364	10^-1.136

As noted from tables 4.1, 4.2 and 4.3, BER of 10⁻³ cannot be fulfilled for SNR of values 0dB, 10dB and 20dB respectively (yellow shaded values). Therefore there is no chance to select any type of modulation scheme. However, selecting the BPSK is better than disconnecting the communication link.

The present work identifies the best modulation scheme used with the instantaneous channel conditions (the SNR and the Doppler effects). Although at any SNR, the BPSK modulation is the best and the 128QAM is the worst in terms of BER, high order modulation schemes such as 32QAM 64QAM and 128QAM can be used for good channel conditions and low speed of the receiver. If the desired BER in physical layer at the receiver is 10⁻³, it has been found that for 160 km/h speed of the receiver, the BPSK modulation should be used. For 100 km/h, the BPSK and QPSK can be used on condition that the SNR is greater than 30dB. For 40 km/h, the 16QAM and 32 QAM can be used on condition that the SNR is greater than 40dB. For 10 km/h, the 64 QAM can be used on condition that the SNR is greater than 40 dB, and for the stationary receiver, the 128 QAM can be used on condition that SNR is around or greater than 50dB.

4.3 The OFDM Wireless Communication System

The relationship between the BER and the SNR performance for QPSK, 16QAM, 32QAM, 64QAM, 128QAM and 256QAM modulation/demodulation and at different receiver equipment speed has been found by assuming 10% of the transmitted data as pilots to estimate

the actual Rayleigh fading channel coefficients. The effect of the Rayleigh fading channel has been nearly removed by considering the estimated Rayleigh fading channel coefficients in the single tab equalizer. Then, the output of the equalizer has been applied to proper demodulation schemes to recover the transmitting bits with a BER as shown in Figures 4.8, 4.9, 4.10, 4.11, 4.12 and 4.13. The range of SNR is from 0dB to 60dB. The step size of SNR is set to be 5dB in order to reduce the time of the simulation which almost needs many days of computation time on a high-speed computer (HP Workstation Z220, 3.4 GHz, Xeon E3 processors and 16 GB RAM) to get a reasonable view about the relation between the SNR and the BER. Another way to reduce the simulation time is by making the number of the transmitted bits vary according to the values of SNR, for example the number of the transmitted bits for 10dB SNR can be much smaller than the transmitted bits for 60dB SNR in order to get a distinct point for BER associated with that SNR. To measure BER accurately, each set of samples for each value of SNR is retransmitted 100-1000 times and then the average of BER for all those trials is calculated.



Figure 4.8: BER curves for QPSK for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.9: BER curves for 16QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.10: BER curves for 32QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.11: BER curves for 64QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.12: BER curves for 128QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.13: BER curves for 256QAM for different speeds, 10% of transmitted data are used for channel estimation.

The choice of the best modulation scheme for the instantaneous channel conditions, to achieve the desired BER, depends on the values of the SNR and the Doppler frequency shift (speed of the receiver) which are considered to be known at the receiver. The following tables are used to decide the best modulation scheme used for the instantaneous SNR and the receiver speed. For example, if it is required to decide the type of modulation for a BER of 10^-3 or less, the shaded values in the following tables specify the type of modulation scheme according to the speed of the receiver.

Table 4.8: OFDM best BER for 0dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-0.477	10^-0.378	10^-0.362	10^-0.349	10^-0.343	10^-0.34
20km/h	10^-0.493	10^-0.383	10^-0.38	10^-0.366	10^-0.355	10^-0.347
40km/h	10^-0.515	10^-0.388	10^-0.371	10^-0.36	10^-0.349	10^-0.343
60km/h	10^-0.486	10^-0.386	10^-0.367	10^-0.356	10^-0.347	10^-0.341
80km/h	10^-0.483	10^-0.383	10^-0.366	10^-0.355	10^-0.346	10^-0.339
100km/h	10^-0.477	10^-0.382	10^-0.365	10^-0.352	10^-0.345	10^-0.339
160km/h	10^-0.478	10^-0.38	10^-0.362	10^-0.351	10^-0.344	10^-0.336

Table 4.9: OFDM best BER for 5dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-0.655	10^-0.46	10^-0.43	10^-0.401	10^-0.386	10^-0.377
20km/h	10^-0.682	10^-0.594	10^-0.46	10^-0.429	10^-0.406	10^-0.392
40km/h	10^-0.726	10^-0.484	10^-0.444	10^-0.417	10^-0.395	10^-0.381
60km/h	10^-0.667	10^-0.481	10^-0.439	10^-0.408	10^-0.391	10^-0.374
80km/h	10^-0.663	10^-0.475	10^-0.432	10^-0.408	10^-0.389	10^-0.376
100km/h	10^-0.655	10^-0.475	10^-0.432	10^-0.407	10^-0.389	10^-0.372
160km/h	10^-0.641	10^-0.457	10^-0.417	10^-0.4	10^-0.382	10^-0.373

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-1.018	10^-0.642	10^-0.551	10^-0.507	10^-0.458	10^-0.432
20km/h	10^-1.052	10^-0.693	10^-0.602	10^-0.549	10^-0.49	10^-0.468
40km/h	10^-1.113	10^-0.673	10^-0.58	10^-0.514	10^-0.467	10^-0.45
60km/h	10^-1.022	10^-0.656	10^-0.563	10^-0.517	10^-0.472	10^-0.444
80km/h	10^-1.012	10^-0.65	10^-0.56	10^-0.506	10^-0.47	10^-0.442
100km/h	10^-1.009	10^-0.648	10^-0.547	10^-0.506	10^-0.46	10^-0.441
160km/h	10^-0.966	10^-0.638	10^-0.547	10^-0.479	10^-0.452	10^-0.423

Table 4.10: OFDM best BER for 10dB SNR

Table 4.11: OFDM best BER for 15dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-1.685	10^-0.941	10^-0.758	10^-0.677	10^-0.589	10^-0.567
20km/h	10^-1.647	10^-1.059	10^-0.834	10^-0.738	10^-0.636	10^-0.589
40km/h	10^-1.682	10^-0.986	10^-0.793	10^-0.697	10^-0.608	10^-0.564
60km/h	10^-1.717	10^-0.968	10^-0.78	10^-0.694	10^-0.605	10^-0.568
80km/h	10^-1.665	10^-0.942	10^-0.768	10^-0.683	10^-0.598	10^-0.546
100km/h	10^-1.631	10^-0.923	10^-0.768	10^-0.667	10^-0.571	10^-0.549
160km/h	10^-1.475	10^-0.88	10^-0.692	10^-0.623	10^-0.546	10^-0.513

Table 4.12: OFDM best BER for 20dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-2.376	10^-1.524	10^-1.124	10^-0.94	10^-0.789	10^-0.728
20km/h	10^-2.348	10^-1.406	10^-1.244	10^-1.029	10^-0.863	10^-0.775
40km/h	10^-2.367	10^-1.507	10^-1.161	10^-1.008	10^-0.829	10^-0.742
60km/h	10^-2.378	10^-1.539	10^-1.144	10^-0.978	10^-0.822	10^-0.727
80km/h	10^-2.368	10^-1.464	10^-1.118	10^-0.945	10^-0.794	10^-0.724
100km/h	10^-2.342	10^-1.414	10^-1.075	10^-0.909	10^-0.786	10^-0.719
160km/h	10^-2.052	10^-1.1	10^-0.843	10^-0.764	10^-0.643	10^-0.6

Table 4.13: OFDM best BER for 25dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-3.125	10^-2.447	10^-1.796	10^-1.401	10^-1.148	10^-1.138
20km/h	10^-3.137	10^-2.222	10^-1.805	10^-1.494	10^-1.231	10^-1.045
40km/h	10^-3.14	10^-2.224	10^-1.797	10^-1.475	10^-1.179	10^-0.998
60km/h	10^-3.009	10^-2.186	10^-1.768	10^-1.425	10^-1.151	10^-0.967
80km/h	10^-2.865	10^-2.136	10^-1.68	10^-1.352	10^-1.076	10^-0.928
100km/h	10^-2.923	10^-1.998	10^-1.515	10^-1.258	10^-0.1	10^-0.879
160km/h	10^-2.349	10^-1.321	10^-0.997	10^-0.849	10^-0.695	10^-0.644

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-3.551	10^-2.868	10^-2.516	10^-2.146	10^-1.714	10^-1.64
20km/h	10^-3.577	10^-2.872	10^-2.449	10^-2.129	10^-1.77	10^-1.482
40km/h	10^-3.435	10^-2.914	10^-2.519	10^-2.148	10^-1.785	10^-1.412
60km/h	10^-3.517	10^-2.689	10^-2.368	10^-2.067	10^-1.634	10^-1.329
80km/h	10^-3.304	10^-2.669	10^-2.28	10^-1.854	10^-1.455	10^-1.193
100km/h	10^-3.215	10^-2.494	10^-1.961	10^-1.588	10^-1.227	10^-1.037
160km/h	10^-2.346	10^-1.451	10^-1.071	10^-0.883	10^-0.764	10^-0.683

Table 4.14: OFDM best BER for 30dB SNR

Table 4.15: OFDM best BER for 35dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-4.315	10^-3.515	10^-3.11	10^-2.839	10^-2.486	10^-2.223
20km/h	10^-4.085	10^-3.621	10^-3.051	10^-2.755	10^-2.433	10^-2.071
40km/h	10^-3.987	10^-3.539	10^-3.076	10^-2.75	10^-2.517	10^-1.979
60km/h	10^-3.816	10^-3.154	10^-2.991	10^-2.513	10^-2.222	10^-1.771
80km/h	10^-3.728	10^-3.011	10^-2.644	10^-2.253	10^-1.795	10^-1.438
100km/h	10^-3.452	10^-2.725	10^-2.152	10^-1.828	10^-1.384	10^-1.128
160km/h	10^-2.491	10^-1.484	10^-1.106	10^-0.925	10^-0.783	10^-0.682

Table 4.16: OFDM best BER for 40dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-4.780	10^-3.96	10^-3.762	10^-3.519	10^-3.176	10^-2.897
20km/h	10^-4.282	10^-3.906	10^-3.508	10^-3.336	10^-3.085	10^-2.71
40km/h	10^-4.31	10^-3.936	10^-3.414	10^-3.342	10^-3.026	10^-2.584
60km/h	10^-4.027	10^-3.398	10^-3.03	10^-3.002	10^-2.559	10^-2.151
80km/h	10^-3.78	10^-3.141	10^-2.791	10^-2.408	10^-1.985	10^-1.561
100km/h	10^-3.478	10^-2.861	10^-2.237	10^-1.927	10^-1.464	10^-1.176
160km/h	10^-2.483	10^-1.475	10^-1.12	10^-0.935	10^-0.783	10^-0.699

Table 4.17: OFDM best BER for 45dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-5.8	10^-4.57	10^-4.35	10^-3.872	10^-3.718	10^-3.343
20km/h	10^-5.37	10^-4.17	10^-4.176	10^-3.732	10^-3.691	10^-3.217
40km/h	10^-4.986	10^-3.902	10^-3.727	10^-3.667	10^-3.257	10^-2.93
60km/h	10^-4.308	10^-3.57	10^-3.322	10^-3.133	10^-2.83	10^-2.363
80km/h	10^-4.114	10^-3.245	10^-2.83	10^-2.534	10^-2.065	10^-1.63
100km/h	10^-3.447	10^-2.959	10^-2.364	10^-1.871	10^-1.491	10^-1.202
160km/h	10^-2.542	10^-1.459	10^-1.115	10^-0.931	10^-0.78	10^-0.695

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-6.07	10^-4.88	10^-4.87	10^-4.53	10^-4.162	10^-3.808
20km/h	10^-5.39	10^-4.783	10^-4.5	10^-4.19	10^-3.906	10^-3.53
40km/h	10^-5.239	10^-4.336	10^-4.128	10^-3.915	10^-3.541	10^-3.219
60km/h	10^-4.448	10^-3.671	10^-3.578	10^-3.186	10^-2.817	10^-2.402
80km/h	10^-3.955	10^-3.18	10^-2.859	10^-2.565	10^-2.147	10^-1.678
100km/h	10^-3.524	10^-2.85	10^-2.424	10^-1.911	10^-1.508	10^-1.208
160km/h	<u>10^-2.437</u>	10^-1.499	10^-1.115	10^-0.936	10^-0.791	10^-0.697

Table 4.18: OFDM best BER for 50dB SNR

Table 4.19: OFDM best BER for 55dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-6.418	10^-5.798	10^-5.29	10^-5.12	10^-4.939	10^-4.632
20km/h	10^-6.22	10^-4.91	10^-4.03	10^-4.356	10^-4.442	10^-3.949
40km/h	10^-5.175	10^-4.536	10^-4.215	10^-4.09	10^-3.813	10^-3.204
60km/h	10^-4.64	10^-3.889	10^-3.462	10^-3.263	10^-2.868	10^-2.42
80km/h	10^-3.961	10^-3.322	10^-2.894	10^-2.599	10^-2.065	10^-1.692
100km/h	10^-3.496	10^-2.917	10^-2.379	10^-1.921	10^-1.502	10^-1.208
160km/h	<u>10^-2.507</u>	10^-1.484	10^-1.115	10^-0.941	10^-0.793	10^-0.697

Table 4.20: OFDM best BER for 60dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-6.799	10^-6.145	10^-5.65	10^-5.32	10^-5.391	10^-5.23
20km/h	10^-6.28	10^-5.487	10^-5.24	10^-5.11	10^-4.56	10^-4.37
40km/h	10^-5.24	10^-4.6	10^-4.075	10^-4.133	10^-3.71	10^-3.252
60km/h	10^-4.58	10^-3.81	10^-3.51	10^-3.22	10^-2.86	10^-2.41
80km/h	10^-4.07	10^-3.211	10^-2.933	10^-2.63	10^-2.133	10^-1.6562
100km/h	10^-3.56	10^-2.959	10^-2.37	10^-1.938	10^-1.519	10^-1.218
160km/h	10^-2.655	10^-1.474	10^-1.12	10^-0.933	10^-0.796	10^-0.708

As noted from tables 4.8- 4.14, BER of 10⁻³ cannot be fulfilled for SNR of values 0dB, 5dB, 10dB, 15dB, 20dB, 25dB, 30dB respectively (yellow shaded values). Therefore there is no chance to select any type of modulation scheme. However, selecting the QPSK is better than disconnecting the communication link.

The present work identifies the best modulation scheme used with the instantaneous channel conditions (the SNR and the Doppler effects). Although at any SNR, the QPSK modulation is the best and the 256QAM is the worst in terms of BER, high-order modulation schemes such as 32QAM 64QAM, 128QAM and 256QAM can be used for good channel conditions and low speed of the receiver. If the desired BER in the physical layer at the receiver is 10⁻³, it is found that for 160 km/h and 100 km/h speeds of the receiver, the QPSK modulation should be used. For 80 km/h speed, the QPSK and 16QAM can be used on condition that the SNR is equal or greater than 35dB. For 60 km/h speed, the 16QAM can be used on condition that the SNR is greater than 35dB, and the 32QAM and the 64QAM can be used on condition that the SNR is greater than 40dB. For 40 km/h speed, the 128QAM can be used on condition that the SNR is greater than 40dB and the 256QAM can be used on condition that the SNR is greater than 50dB. For 20 km/h and the stationary receiver, the 256QAM can be used on condition that the SNR is greater than 45dB.

4.4 The 2*2 MIMO-OFDM Wireless Communication System

The relationship between the SNR and the BER performance for QPSK, 16QAM, 32QAM, 64QAM, 128QAM and 256QAM modulation/demodulation types and at different receiver equipment speeds has been found by assuming 10% of the transmitted data as pilots to estimate (interpolate) the actual Rayleigh fading channel coefficients. The effect of the Rayleigh fading channel has been nearly removed by considering the estimated Rayleigh fading channel coefficients in the

MIMO single tab equalizer. Then the two outputs of the equalizer have been applied to a proper demodulation scheme to recover the transmitting bits with a BER as shown in Figures 4.14, 4.15, 4.16, 4.17, 4.18 and 4.19. The BER has been calculated by taking the average value of the two BERs produced for the two OFDM symbols which are transmitted from antenna 1 and antenna 2 simultaneously. The range of SNR is from 0dB to 60dB. The step size of SNR is set to 5dB in order to reduce the time of the simulation which almost needs many days of computation time on a highspeed computer to get a reasonable view about the relation between the SNR and the BER.



Figure 4.14: BER curves for QPSK for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.15: BER curves for 16QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.16: BER curves for 32QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.17: BER curves for 64QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.18: BER curves for 128QAM for different speeds, 10% of transmitted data are used for channel estimation.



Figure 4.19: BER curves for 256QAM for different speeds, 10% of transmitted data are used for channel estimation.

The choice of the best modulation scheme for the instantaneous channel conditions, to achieve the desired BER, depends on the values of the SNR and the Doppler frequency shift (speed of the receiver) which are considered to be known at the receiver. Tables 4.21-4.33 are used to decide the best modulation scheme used according to the instantaneous SNR and the receiver speed. For example, if it is required to decide the type of modulation for a BER of 10^-3 or less, the blue shaded values specify the possibility of choosing the type of modulation scheme for the 2*2 MIMO-OFDM system according to the speed of the receiver. BER of 10^-3 for the yellow shade values cannot be fulfilled for SNR of values 0dB, 5dB, 10dB, 15dB, 20dB, 25dB, 30dB respectively. Therefore there is no chance to select any type of modulation scheme. However, selecting the QPSK is better than disconnecting the communication link. On the other hand, the green shaded values in the same tables are for extra modulation schemes when the OFDM system is considered.

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-0.377	10^-0.353	10^-0.343	10^-0.333	10^-0.328	10^-0.325
20km/h	10^-0.44	10^-0.365	10^-0.352	10^-0.342	10^-0.336	10^-0.332
40km/h	10^-0.428	10^-0.358	10^-0.347	10^-0.338	10^-0.333	10^-0.328
60km/h	10^-0.422	10^-0.356	10^-0.345	10^-0.336	10^-0.332	10^-0.327
80km/h	10^-0.421	10^-0.355	10^-0.343	10^-0.336	10^-0.33	10^-0.327
100km/h	10^-0.419	10^-0.354	10^-0.344	10^-0.334	10^-0.33	10^-0.327
160km/h	10^-0.415	10^-0.354	10^-0.343	10^-0.335	10^-0.332	10^-0.326

Table 4.21: 2*2 MIMO-OFDM best BER for 0dB SNR

Table 4.22: 2*2 MIMO-OFDM best BER for 5dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-0.554	10^-0.404	10^-0.382	10^-0.365	10^-0.355	10^-0.349
20km/h	10^-0.57	10^-0.432	10^-0.402	10^-0.383	10^-0.367	10^-0.36
40km/h	10^-0.546	10^-0.416	10^-0.392	10^-0.374	10^-0.363	10^-0.354
60km/h	10^-0.539	10^-0.411	10^-0.388	10^-0.371	10^-0.36	10^-0.352
80km/h	10^-0.533	10^-0.41	10^-0.387	10^-0.369	10^-0.359	10^-0.352
100km/h	10^-0.53	10^-0.409	10^-0.384	10^-0.367	10^-0.359	10^-0.35
160km/h	10^-0.532	10^-0.404	10^-0.383	10^-0.363	10^-0.356	10^-0.349

Table 4.23: 2*2 MIMO-OFDM best BER for 10dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-0.836	10^-0.514	10^-0.47	10^-0.434	10^-0.404	10^-0.397
20km/h	10^-0.834	10^-0.566	10^-0.45	10^-0.461	10^-0.432	10^-0.415
40km/h	10^-0.787	10^-0.542	10^-0.482	10^-0.446	10^-0.42	10^-0.405
60km/h	10^-0.773	10^-0.533	10^-0.476	10^-0.441	10^-0.417	10^-0.401
80km/h	10^-0.768	10^-0.53	10^-0.472	10^-0.439	10^-0.414	10^-0.401
100km/h	10^-0.756	10^-0.522	10^-0.468	10^-0.435	10^-0.411	10^-0.398
160km/h	10^-0.727	10^-0.502	10^-0.469	10^-0.426	10^-0.399	10^-0.389

Table 4.24: 2*2 MIMO-OFDM best BER for 15dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-1.288	10^-0.743	10^-0.627	10^-0.572	10^-0.502	10^-0.473
20km/h	10^-1.289	10^-0.801	10^-0.67	10^-0.603	10^-0.537	10^-0.503
40km/h	10^-1.26	10^-0.765	10^-0.644	10^-0.576	10^-0.516	10^-0.49
60km/h	10^-1.237	10^-0.752	10^-0.635	10^-0.569	10^-0.511	10^-0.483
80km/h	10^-1.242	10^-0.745	10^-0.628	10^-0.564	10^-0.505	10^-0.477
100km/h	10^-1.224	10^-0.735	10^-0.618	10^-0.561	10^-0.505	10^-0.473
160km/h	10^-1.109	10^-0.679	10^-0.583	10^-0.529	10^-0.475	10^-0.459

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-1.967	10^-1.132	10^-0.844	10^-0.76	10^-0.637	10^-0.601
20km/h	10^-1.938	10^-1.191	10^-0.953	10^-0.82	10^-0.708	10^-0.643
40km/h	10^-1.957	10^-1.151	10^-0.902	10^-0.795	10^-0.682	10^-0.622
60km/h	10^-1.974	10^-1.12	10^-0.887	10^-0.781	10^-0.668	10^-0.612
80km/h	10^-1.979	10^-1.093	10^-0.872	10^-0.761	10^-0.654	10^-0.603
100km/h	10^-1.895	10^-1.062	10^-0.847	10^-0.752	10^-0.637	10^-0.59
160km/h	10^-1.536	10^-0.866	10^-0.711	10^-0.628	10^-0.556	10^-0.523

Table 4.25: 2*2 MIMO-OFDM best BER for 20dB SNR

Table 4.26: 2*2 MIMO-OFDM best BER for 25dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-2.662	10^-1.844	10^-1.386	10^-1.11	10^-0.892	10^-0.827
20km/h	10^-2.612	10^-1.782	10^-1.404	10^-1.176	10^-0.978	10^-0.856
40km/h	10^-2.664	10^-1.774	10^-1.366	10^-1.131	10^-0.935	10^-0.823
60km/h	10^-2.573	10^-1.726	10^-1.346	10^-1.094	10^-0.918	10^-0.804
80km/h	10^-2.526	10^-1.674	10^-1.289	10^-1.05	10^-0.881	10^-0.778
100km/h	10^-2.57	10^-1.529	10^-1.176	10^-0.98	10^-0.823	10^-0.738
160km/h	10^-1.98	10^-1.017	10^-0.813	10^-0.708	10^-0.605	10^-0.557

Table 4.27: 2*2 MIMO-OFDM best BER for 30dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-3.19	10^-2.471	10^-2.106	10^-1.727	10^-1.332	10^-1.084
20km/h	10^-3.238	10^-2.465	10^-2.049	10^-1.71	10^-1.401	10^-1.18
40km/h	10^-3.133	10^-2.47	10^-2.04	10^-1.616	10^-1.351	10^-1.132
60km/h	10^-3.089	10^-2.362	10^-1.957	10^-1.609	10^-1.271	10^-1.067
80km/h	10^-2.949	10^-2.3	10^-1.78	10^-1.423	10^-1.14	10^-0.976
100km/h	10^-2.682	10^-2.002	10^-1.539	10^-1.211	10^-1.0078	10^-0.868
160km/h	10^-2.045	10^-1.091	10^-0.856	10^-0.757	10^-0.641	10^-0.586

Table 4.28: 2*2 MIMO-OFDM best BER for 35dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-3.74	10^-3.235	10^-2.753	10^-2.526	10^-2.092	10^-1.657
20km/h	10^-3.881	10^-3.047	10^-2.719	10^-2.369	10^-2.01	10^-1.677
40km/h	10^-3.619	10^-3.036	10^-2.745	10^-2.28	10^-1.956	10^-1.597
60km/h	10^-3.568	10^-2.915	10^-2.561	10^-2.153	10^-1.731	10^-1.401
80km/h	10^-3.174	10^-2.617	10^-2.193	10^-1.777	10^-1.409	10^-1.153
100km/h	10^-3.101	10^-2.23	10^-1.73	10^-1.368	10^-1.113	10^-0.937
160km/h	10^-2.1	10^-1.137	10^-0.87	10^-0.777	10^-0.647	10^-0.598

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-4.39	10^-3.856	10^-3.35	10^-3.119	10^-2.598	10^-2.434
20km/h	10^-4.462	10^-3.625	10^-3.262	10^-2.95	10^-2.613	10^-2.32
40km/h	10^-4.346	10^-3.55	10^-3.17	10^-2.789	10^-2.553	10^-2.151
60km/h	10^-3.94	10^-3.307	10^-2.974	10^-2.599	10^-2.115	10^-1.735
80km/h	10^-3.628	10^-2.725	10^-2.351	10^-2.026	10^-1.578	10^-1.267
100km/h	10^-3.09	10^-2.477	10^-1.887	10^-1.42	10^-1.165	10^-1.005
160km/h	10^-2.089	10^-1.138	10^-0.868	10^-0.772	10^-0.655	10^-0.599

Table 4.29: 2*2 MIMO-OFDM best BER for 40dB SNR

Table 4.30: 2*2 MIMO-OFDM best BER for 45dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-4.817	10^-4.05	10^-4.16	10^-3.546	10^-3.116	10^-3.151
20km/h	10^-4.465	10^-3.878	10^-3.737	10^-3.331	10^-3.04	10^-2.944
40km/h	10^-4.694	10^-3.696	10^-3.628	10^-3.09	10^-2.952	10^-2.588
60km/h	10^-4.046	10^-3.34	10^-3.124	10^-2.726	10^-2.32	10^-1.886
80km/h	10^-3.713	10^-2.769	10^-2.552	10^-2.088	10^-1.614	10^-1.295
100km/h	10^-3.248	10^-2.433	10^-1.901	10^-1.471	10^-1.174	10^-0.989
160km/h	10^-2.155	10^-1.124	10^-0.855	10^-0.772	10^-0.655	10^-0.604

Table 4.31: 2*2 MIMO-OFDM best BER for 50dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-5.163	10^-4.89	10^-4.666	10^-4.19	10^-3.71	10^-3.531
20km/h	10^-4.989	10^-4.527	10^-4.256	10^-3.823	10^-3.39	10^-3.454
40km/h	10^-4.805	10^-3.834	10^-3.614	10^-3.441	10^-3.261	10^-2.935
60km/h	10^-4.092	10^-3.666	10^-3.032	10^-2.878	10^-2.36	10^-1.988
80km/h	10^-3.717	10^-2.93	10^-2.618	10^-2.064	10^-1.686	10^-1.325
100km/h	10^-3.221	10^-2.321	10^-1.907	10^-1.517	10^-1.208	10^-1.012
160km/h	10^-2.036	10^-1.122	10^-0.872	10^-0.778	10^-0.66	10^-0.603

Table 4.32: 2*2 MIMO-OFDM best BER for 55dB SNR

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-5.831	10^-5.59	10^-4.951	10^-4.836	10^-4.335	10^-4.108
20km/h	10^-5.065	10^-5.002	10^-4.63	10^-4.262	10^-3.852	10^-3.538
40km/h	10^-4.8	10^-4.05	10^-4.04	10^-3.521	10^-3.305	10^-2.991
60km/h	10^-4.075	10^-3.574	10^-3.078	10^-2.751	10^-2.476	10^-1.97
80km/h	10^-3.587	10^-3.048	10^-2.487	10^-2.026	10^-1.67	10^-1.325
100km/h	10^-3.287	10^-2.353	10^-1.949	10^-1.498	10^-1.191	10^-1.003
160km/h	10^-2.09	10^-1.152	10^-0.887	10^-0.775	10^-0.667	10^-0.598

Mod	QPSK	16QAM	32QAM	64QAM	128QAM	256QAM
speed						
0km/h	10^-6.39	10^-6.017	10^-5.555	10^-5.315	10^-5.09	10^-4.922
20km/h	10^-5.59	10^-5.255	10^-5.038	10^-4.796	10^-4.152	10^-3.954
40km/h	10^-5.047	10^-4.411	10^-3.976	10^-3.663	10^-3.439	10^-3.016
60km/h	10^-4.18	10^-3.641	10^-3.071	10^-2.829	10^-2.472	10^-2.022
80km/h	10^-3.557	10^-3.07	10^-2.575	10^-2.055	10^-1.693	10^-1.345
100km/h	10^-3.22	10^-2.518	10^-1.94	10^-1.54	10^-1.199	10^-1.004
160km/h	10^-2.09	10^-1.15	10^-0.888	10^-0.786	10^-0.667	10^-0.611

Table 4.33: 2*2 MIMO-OFDM best BER for 60dB SNR

The 2*2 MIMO-OFDM tables identify the best modulation scheme used with the instantaneous channel conditions (the SNR and the Doppler effects). Although at any SNR, the QPSK modulation is the best and the 256QAM is the worst in terms of BER, high order modulation schemes such as 32QAM, 64QAM, 128QAM or 256QAM can be used for good channel conditions and low speed of receiver. If the desired BER in the physical layer at the receiver is 10^{-3} , it is found that for 160 km/h and 100 km/h speeds of the receiver, only the QPSK modulation should be used. For 80 km/h speed, the QPSK and 16QAM can be used on condition that the SNR is equal or greater than 55dB. For 60 km/h speed, the 16QAM can be used on condition that the SNR is greater than 40dB, the 32QAM can be used on condition that the SNR is greater than 45dB. For 40 km/h speed, the 64QAM can be used on condition that the SNR is greater than 40dB and the 128QAM can be used on condition that the SNR is greater than 50dB. For 20 km/h speed, the 128QAM can be used on condition that the SNR is greater than 45dB and the 256QAN can be used on condition that the SNR is greater than 50dB. For the stationary receiver, the 256QAM can be used on condition that the SNR is greater than 45dB.

The 2*2 MIMO-OFDM and the OFDM tables show that, the OFDM system has a better BER factor as compared with the 2*2 MIMO-OFDM, but at the same time the data throughput of the 2*2 MIMO-OFDM system is higher. For the same example mentioned, if the desired BER in the physical layer at the receiver is 10⁻³, then the QPSK is the only modulation scheme choice for both OFDM and 2*2 MIMO-OFDM systems when the SNR ranges from 0 to 34dB and for all the speeds of the receiver. In addition, for a SNR greater than 35dB the green shaded values in Tables 4.28-4.33 show the type of modulation scheme which can be used for the OFDM system but which cannot be used for the 2*2 MIMO-OFDM system for the same channel conditions and for the same speed of the receiver. Another conclusion which may be drawn from Tables 4.28-4.33 is that when the SNR increases, mostly the same modulation schemes used for the OFDM system can be used for the 2*2 MIMO-OFDM system. For example, at the 35dB SNR (Table 4.28), the OFDM system can use five more choices (shaded by green color) than that the 2*2 MIMO-OFDM system can use. Moreover, for 40dB SNR, the OFDM system can use eight more choices than that the 2*2 MIMO-OFDM system can use, whereas, for 60dB SNR, the OFDM system can use only one more choice than the 2*2 MIMO-OFDM system can use.

Although it seems that the OFDM system has better BER than the BER of the 2*2 MIMO-OFDM system and sometimes may go to higher modulation order but in terms of data throughput the 2*2 MIMO-OFDM is better. For example, if the SNR is 50dB and the speed of the receiver is 40km/h, Table 4.31 shows that the OFDM system can transmit single symbol of 256QAM modulation (8 bit per symbol) whereas the 2*2 MIMO-OFDM system can transmit two parallel symbols of 128QAM modulation (2*(7 bit per symbol)). This example shows that the data throughput of 2*2 MIMO-OFDM system is higher than the data throughput of the OFDM system by 75%. Also if the speed of the receiver is 20km/k then both the two systems can use the 256QAM and as a result the data throughput of 2*2 MIMO-OFDM system is twice the data throughput of the OFDM system.

4.5 Adaptation of the Modulation Scheme

The investigated communication systems can be made adaptive by enabling a choice of the modulation scheme. This choice takes into account the SNR and speed of the receiver. The results obtained in this chapter can be used to construct a look-up table containing SNR, speed of the receiver and the recommended type of modulation.

As an example, the results shown in figures 4.14-4.19, are used to construct Table 4.34. The table shows the recommended modulation scheme to achieve 10⁻³ BER according to the speed of the receiver and the channel SNR.

SNR(dB)	0-30	35	40	45	50	55	60
speed							
0km/h	QPSK	16QAM	64QAM	256QAM	256QAM	256QAM	256QAM
20km/h	QPSK	16QAM	32QAM	128QAM	256QAM	256QAM	256QAM
40km/h	QPSK	16QAM	32QAM	64QAM	128QAM	128QAM	256QAM
60km/h	QPSK	QPSK	16QAM	32QAM	32QAM	32QAM	32QAM
80km/h	QPSK	QPSK	QPSK	QPSK	QPSK	16QAM	16QAM
100km/h	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
160km/h	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK

Table 4.34: look-up table for 2*2 MIMO-OFDM adaptive modulation

The adaptive modulation in the 2*2 MIMO-OFDM systems can be easily implemented using the Table 4.34 which is searched and accordingly the type of modulation scheme is switched. Values inside this table are used to switch the mapper and the de-mapper modules (see Figure 2.12) to the appropriate modulation scheme.

Chapter Five

Conclusions and Suggestions for Future Work

5.1 Conclusions

From the results obtained, the following may be concluded:

- 1. The effect of the Doppler shift frequency on the received signal is completely cancelled if perfect Rayleigh fading channel parameters are considered in calculating the received signal. In this case, the relative movement between the transmitter and the receiver has no effect on the communication system. This is an ideal case.
- 2. The effect of the Doppler shift frequency on the received signal is nearly removed when considering the estimated Rayleigh fading channel coefficients using a single tab equalizer.
- 3. The worst BER performance of the used modulations usually occurs at the maximum moving receiver speed. The BER performance improves as the speed of the receiver equipment decreases.
- 4. The choice of the best modulation scheme for instantaneous channel conditions, to achieve the desired BER, depends on the values of the SNR and the Doppler frequency shifts (speed of the receiver).
- 5. For a single carrier base band transmission system, if the desired BER in physical layer at the receiver 10^-3 is chosen, it is found that for 160 km/h speed of the receiver, the BPSK modulation should be used. For 100 km/h, the BPSK and QPSK can be used on

condition that the SNR is greater than 30dB. For 40 km/h, the 16QAM and 32 QAM can be used on condition that the SNR is greater than 40dB. For 10 km/h, the 64 QAM can be used on condition that the SNR is greater than 40dB. Furthermore, for the stationary receiver, the 128 QAM can be used on condition that SNR is around or greater than 50dB.

- 6. For OFDM system, if the desired BER in physical layer at the receiver 10⁻³ is chosen, it is found that for 160 km/h and 100 km/h speed of the receiver, the QPSK modulation should be used. For 80 km/h, the QPSK and 16QAM can be used on condition that the SNR is equal or greater than 35dB. For 60 km/h, the 16QAM can be used on condition that the SNR is greater than 35dB, the 32QAM and the 64QAM can be used on condition that the SNR is greater than 40dB. For 40 km/h, the 128QAM can be used on condition that the SNR is greater than 40dB and the 256QAM can be used on condition that the SNR is greater than 50dB. For 20 km/h and the stationary receiver, the 256QAM can be used on condition that the SNR is greater than 45dB.
- 7. For 2*2 MIMO-OFDM, if the desired BER in physical layer at the receiver is 10^-3, it is found that for 160 km/h and 100 km/h speeds of the receiver, only the QPSK modulation should be used. For 80 km/h, the QPSK and 16QAM can be used on condition that the SNR is equal or greater than 55dB. For 60 km/h, the 16QAM can be used on condition that the SNR is greater than 40dB, and the 32QAM can be used on condition that the SNR is greater than 45dB. For 40 km/h, the 64QAM can be used on condition that the SNR is greater than 45dB.

than 40dB, and the 128QAM can be used on condition that the SNR is greater than 50dB. For 20 km/h, the 128QAM can be used on condition that the SNR is greater than 45dB and the 256QAN can be used on condition that the SNR is greater than 50dB. For the stationary receiver, the 256QAM can be used on condition that the SNR is greater than 45dB.

- 8. It may be concluded that the best and most applicable method for the IFFT zero padding to generate the OFDM symbol is the symmetric zero padding at the two sides of the IFFT inputs, which is shown in Figure 3.15. One may also conclude that the OFDM spectrum bandwidth of the real part is the same as that of the imaginary part.
- 9. The time spaces between the output samples of the parallel to serial convertors determine the OFDM symbol duration and consequently determine the subcarrier bandwidth.
- 10.If the size of the OFDM IFFT is doubled, the bandwidth needed to transmit the OFDM symbol should also be doubled on condition that the time of the OFDM symbol remains constant.
- 11. The bandwidth needed to transmit one OFDM symbol before and after adding CP is the same.
- 12. The added CP to the OFDM symbol has the same bandwidth as that of the OFDM symbol before adding the CP.
- 13. The BER performance of the OFDM system is better than the BER for the 2*2-MIMO-OFDM system when the equalizer used in the two systems is the ZF. On the contrary, the data throughput of the

2*2 MIMO-OFDM system is greater than that of the OFDM system.

14.Look-up tables can be easily constructed from the obtained results as a switching indexes for the adaptive modulation.

5.2 Suggestions for Future Work

The following are some suggestions for future work in this field:

- 1. Using the MMSE MIMO-OFDM equalizer instead of the ZF equalizer and evaluating the results in comparison to those of the ZF equalizer.
- 2. Increasing the number of transmitting and receiving antennas for the MIMO-OFDM system rather than 2*2 and comparing the results with the 2*2 MIMO system.
- 3. Using an adaptive number of pilots for channel estimation and building a table describing the best number of pilots for the instantaneous channel condition and speed of the receiver.
- 4. Using the Rician fading channel instead of the Rayleigh fading channel and comparing the results for all the cases.
- 5. Using the error detection and correction (CRC) and noticing the improvements that may occur in the system.

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اقرار المشرف

أشهد بان الرسالة الموسومة بـ "التضميين التكيفي لمنظومة هوانيات متعددة الإدخال متعددة الإخراج ذات الوصول المتعدد للترددات المتعامدة" قد جرى تحت إشرافي في جامعة الموصل وهي جزء من متطلبات نيل شهادة الماجستير في هندسة الاتصالات.

التوقيع :

المشرف : د. يونس محمود عبوش التاريخ : 30 / 11 / 2014

إقرار المقيم النغوى

أشهد بأني قمت بمراجعة الرسالة الموسومة بـ " التضمين التكيفي لمنظومة هوائيات متعددة الإدخال متعددة الإخراج ذات الوصول المتعدد للترددات المتعامدة" من الناحية اللغوية وتصحيح ما ورد فيها من اخطاء لغوية وتعبيرية وبذلك أصبحت الرسالة مؤهلة للمناقشة بقدر تعلق الأمر بسلامة الأسلوب وصحة التعبير.

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إقرار رئيس لجنة الدراسات الطيا

بناءً على التوصيات المقدمة من قبل المشرف والمقوم اللغوي أرشح هذه الرسالة للمناقشة . التوقيع : الاستاذ الدكتور: التاريخ : / /

إقرار رنيس القسم

بناءً على التوصيات التي تقدم بها المشرف والمقوم اللغوي ورئيس لجنة الدراسات العليا أرشح هذه الرسالة للمناقشة . التوقيع : الدكتور : محمود أحمد محمود التاريخ : / /

الخلاصة

في السنوات القليلة الماضية، شهدت تقنية الإتصالات اللاسلكية تطوراً كبيراً فتح باباً للكثير من التطبيقات الجديدة التي أدت إلى إرسال و إستلام البيانات بسرعة عالية بعيداً عن تحديدات الموقع و سرعة جهاز الإستقبال.

لقد ثبت مؤخراً أن من أنجح الطرق التي تؤدي إلى متعدد الإشارات الحاملة هو نظام الوصول المتعدد للترددات المتعامدة (OFDM) الذي لا يقوم بتحقيق سرع عالية لنقل البيانات فحسب بل يقوم أيضا بتركيزها موقعياً وبالتالي مضاعفة البيانات المنقولة أضعافا كثيرة. ولغرض زيادة كفاءة المنظومة تم استخدام هوائيات متعددة لكل من الإرسال و الإستقبال و المسماة هوائيات متعددة الإدخال متعددة الإخراج (MIMO).

فضلاً عن تقنية هوائيات متعددة الإدخال متعددة الإخراج (MIMO) و تقنية الوصول المتعدد للترددات المتعامدة (OFDM) فإن تقنية تكييف التضمين (Adaptive Modulation) تعد تقنية مهمة أخرى لزيادة كمية و سرعة نقل البيانات. ويمكن تحقيق ذلك بنسبة خطأ مقبولة للبتات المنقولة (BER) و بأعلى إنتاجية لنقل البيانات (Data Throughput) اذا ما تم احتساب نسبة الإشارة إلى الضوضاء (SNR) و زحف تردد دوبلر بشكل جيد. لذلك فإن ما تم إنجازه في هذه الرسالة هو تقدير أفضل تركيبة لنوعيات التضمين اعتماداً على تمثيل قناة النقل موصوفة. بخفوت رايلي و مشوهة بإضافة الضوضاء البيضاء ذات توزيع كاوسى و لسرع متعددة لجهاز الإستقبال. لقد تم في هذا البحث در اسة و تقييم ثلاث منظومات للإتصالات هي: منظومة الحامل المنفرد للإرسال و منظومة الوصول المتعدد للترددات المتعامدة (OFDM) و منظومة متعددة الإدخال متعددة الإخراج للوصول المتعدد للترددات المتعامدة (MIMO-OFDM). لقد شملت در اسة و تقييم هذه المنظو مات الثلاث كلاً من نسبة خطأ البتات المنقولة (BER) و إنتاجية. البيانات (Data Throughput) و لسرع مختلفة لجهاز الإستقبال، و على أساس ذالك تم تقرير نوع التكييف للتضمين (Modulation Adaptation). إن تبديل نوع التضمين الى الافضل اعتمادا على الظروف الأنية لقناة الأتصال لتحقيق نسبة خطأ مقبولة للبتات المنقولة يعتمد بشكل أساس على نسبة الإشارة إلى الضوضاء و إنحراف ترددات دوبلر و يتم ذلك عن طريق جدول تم إحتسابه لهذا الغرض.
التضمين التكيفي لمنظومة هوائيات متعددة الإدخال متعددة الإخراج ذات الوصول المتعدد للترددات المتعامدة

رسالة تقدم بها

محمد باسل شکر (بکالوریوس هندسة إتصالات)

إلى

مجلس كلية هندسة الالكترونيات في جامعة الموصل

وهي جزء من متطلبات نيل شهادة ماجستير علوم في هندسة الاتصالات

بإشراف الدكتور يونس محمود عبوش

2015م

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جامعة الموصل كلية هندسة الالكترونيات

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